

Disjointness is hard in the multi-party number-on-the-forehead model

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- Randomized complexity $\Theta(n)$ [KS87, Raz92]
- Quantum complexity $\Theta(\sqrt{n})$ [lower Raz03, upper AA03]

Number-on-the-forehead model

- k -players, input x_1, \dots, x_k . Player i knows everything but x_i .
- Large overlap in information makes showing lower bounds difficult. Only available method is discrepancy method.
- Lower bounds have application to powerful models like circuit complexity and complexity of proof systems.
- Best lower bounds are of the form $n/2^k$. Bound of $n/2^{2k}$ for generalized inner product function [BNS89].

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- Kushilevitz and Nisan: “The only technique from two-party complexity that generalizes to multiparty complexity is the discrepancy method.” For disjointness, discrepancy can only show bounds of $O(\log n)$.
- Researchers have studied restricted models—bound of $n^{1/3}$ for three players where first player speaks and dies [BPSW06]. Bound of $n^{1/k}/k^k$ in one-way model [VW07].

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in the general k -party number-on-the-forehead model.

- Separates nondeterministic and randomized complexity up to $\delta \log \log n$ players, $\delta < 1$.
- Chattopadhyay and Ada independently obtained similar results

Application to proof systems

- As linear and semidefinite programming are some of the most sophisticated algorithms we have developed, natural to see how they fare on NP-complete problems.
- One way to formalize this is through proof complexity: for example cutting planes, Lovász-Schrijver proof systems.

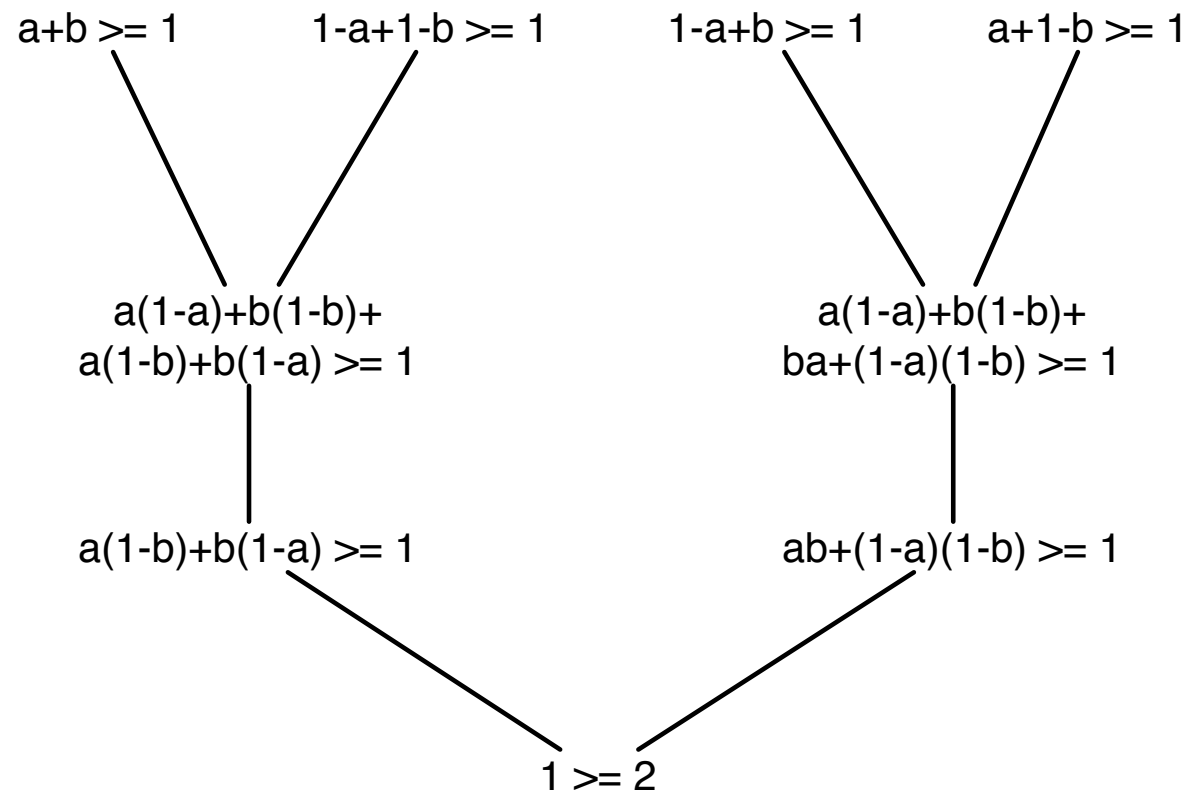
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- As linear and semidefinite programming are some of the most sophisticated algorithms we have developed, natural to see how they fare on NP-complete problems.
- One way to formalize this is through proof complexity: for example cutting planes, Lovász-Schrijver proof systems.
- Beame, Pitassi, and Segerlind show that lower bounds on disjointness imply lower bounds for a very general class of proof systems, including the above [\[BPS06\]](#).

Semantically entailed proof systems

- Say trying to show a CNF formula ϕ is not satisfiable
- Refutation is a binary tree with nodes labeled by degree d polynomial inequalities and derives $0 \geq 1$.
- Axioms are clauses of ϕ , represented as inequalities.
- Derivation rule is Boolean soundness: if every 0/1 assignment which satisfies f and g also satisfies h , then one may conclude h from f, g .

Example: $(a \vee b) \wedge (\neg a \vee \neg b) \wedge (\neg a \vee b) \wedge (a \vee \neg b)$



Application to proof systems

- Via [BPS06] and our results on disjointness, we obtain super-polynomial lower bounds on the size of tree-like degree d semantically entailed proofs needed to refute certain CNFs for any $d = \log \log n - O(\log \log \log n)$.
- Examples: cutting planes, Lovász-Schrijver systems ($d = 2$).
- Exponential bounds known for cutting planes and tree-like Lovász-Schrijver systems, but rely heavily on specific properties of these proof systems. Even for $d = 2$ no such bounds were known in general.

Review of two-party complexity

- Alice and Bob wish to compute a distributed function $f : X \times Y \rightarrow \{-1, +1\}$. Consider a $|X|$ -by- $|Y|$ matrix where $A[x, y] = f(x, y)$.
- Structural theorem: successful c -bit protocol partitions A into 2^c monochromatic rectangles.
- In particular, the protocol gives us a way to decompose A as

$$A = \sum_i \epsilon_i C_i$$

where $\epsilon_i \in \{-1, 1\}$ and C_i is a 0/1 valued rank-one matrix.

A relaxation

- Define a quantity

$$\mu(A) = \min \left\{ \sum |\alpha_i| : A = \sum_i \alpha_i C_i \right\}$$

where each C_i is a 0/1 valued rank-one matrix.

- We have $D(A) \geq \log \mu(A)$.
- The log rank bound is a relaxation in a different direction—each C_i can be an arbitrary rank one matrix, but we count their number rather than their “weight”.

Randomized complexity

- For randomized complexity, a protocol gives a decomposition not of A but of a matrix close to A in ℓ_∞ norm.
- To capture this, we consider an approximate version of μ : for $\alpha \geq 1$

$$\mu^\alpha(A) = \min_{A': J \leq A \circ A' \leq \alpha J} \mu(A')$$

where J is the all ones matrix.

- One can show that $R_\epsilon(A) \geq \log \mu^\alpha(A) - \log(\alpha)$ for $\alpha = 1/(1 - 2\epsilon)$.

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- By definition, the dual norm is

$$\mu^*(Q) = \max_{B: \mu(B) \leq 1} |\langle Q, B \rangle|$$

- So we see $\mu^*(Q) = \max_C |\langle Q, C \rangle|$ where C is 0/1 valued rank one matrix.

Dual formulation

- By theory of duality we then get

$$\mu(A) = \max_Q \frac{\langle A, Q \rangle}{\mu^*(Q)}$$

- This form is more convenient for showing lower bounds— it suffices to exhibit a matrix Q that has non-negligible correlation with A and such that $\mu^*(Q)$ is small.

Dual formulation, approximate versions

The approximate versions of μ also have attractive dual formulations:

$$\mu^\alpha(A) = \max_Q \frac{(1 + \alpha)\langle A, Q \rangle + (1 - \alpha)\|Q\|_1}{2\mu^*(Q)}$$

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$$\mu^\infty(A) = \max_{Q: A \circ Q \geq 0} \frac{\langle A, Q \rangle}{\mu^*(Q)}$$

Comparison with discrepancy

Discrepancy with respect to probability distribution P is defined as

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Number-on-the-forehead model

- Instead of a communication matrix, we now have a communication tensor $A[x_1, \dots, x_k] = f(x_1, \dots, x_k)$.
- Instead of combinatorial rectangles we now have cylinder intersections.
- Message of player i does not depend on x_i . Behavior can be described as a function ϕ for which

$$\phi(x_1, \dots, x_i, \dots, x_k) = \phi(x_1, \dots, x'_i, \dots, x_k).$$

- We call such a function a cylinder function.

Number-on-the-forehead model

- A cylinder intersection is the intersection of sets which are cylinders. Characteristic function can be written as

$$\phi^1(x_1, \dots, x_k) \cdots \phi^k(x_1, \dots, x_k)$$

where each ϕ^i is a 0/1 valued cylinder function in the i^{th} dimension.

- Structural theorem: a successful c -bit k -player NOF protocol decomposes the communication tensor into 2^c monochromatic k -fold cylinder intersections.

Our lower bound technique

- Analogous to the two-player case, for a k -tensor A we define

$$\mu(A) = \min \left\{ \sum_i |\alpha_i| : A = \sum_i \alpha_i C_i \right\}$$

where each C_i is characteristic function of a k -fold cylinder intersection.

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- $D_k(A) \geq \log \mu(A)$
- As before we define the approximate version to lower bound randomized complexity:

$$\mu^\alpha(A) = \min_{A': J \leq A \circ A' \leq \alpha J} \mu(A')$$

Dual formulation

- Now we see that

$$\mu^*(Q) = \max_C |\langle Q, C \rangle|$$

where C is the characteristic function of a cylinder intersection.

- Connection to discrepancy: $\text{disc}_P(A) = \mu^*(A \circ P)$.

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Overview of proof

- We want to lower bound $\mu^\alpha(A)$, where $A[x_1, \dots, x_k] = \text{OR}(x_1 \wedge \dots \wedge x_k)$.
- Suffices to find Q , show $\langle A, Q \rangle$ is non-negligible, upper bound $\mu^*(Q)$.

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- Also choose Q to be of the form $Q[x_1, \dots, x_k] = q(x_1 \wedge \dots \wedge x_k)$
- We follow the elegant “pattern matrix” framework of Sherstov [She07a, She07b], and its extension to the tensor case by Chattopadhyay [Cha07]. Focus on subtensors of A, Q with nicer structure.

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- This allows us to relate properties of functions f, q to those of A, Q .

Pattern Matrix

- Alice holds m -many strings $x = (x_1, \dots, x_m)$ each of length M .
- Bob holds $S \in [M]^m$ to select bits of x .
- For a function $f : \{0, 1\}^m \rightarrow \{-1, +1\}$, pattern matrix is defined as

$$A_f[x, S] = f(x_1[S[1]], \dots, x_m[S[m]]).$$

- If $f = \text{OR}$ then this is special case of disjointness on mM bits.

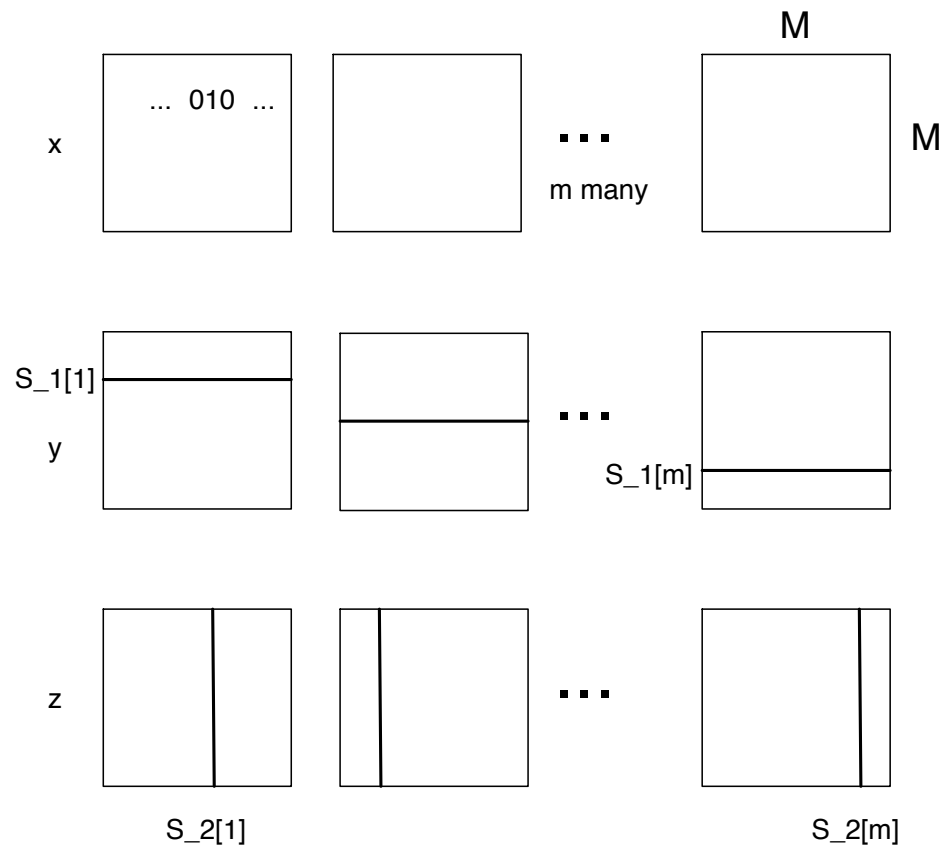
Pattern Tensors

- For simplicity, $k = 3$. Now Alice has m many M -by- M matrices $x = (x_1, \dots, x_m)$.
- Bob, Charlie hold $S_1, S_2 \in [M]^m$ to select rows resp. columns of x .
- For a function $f : \{0, 1\}^m \rightarrow \{-1, +1\}$ define

$$A_f[x, S_1, S_2] = f(x_1[S_1[1], S_2[1]], \dots, x_m[S_1[m], S_2[m]]).$$

- Nice property: every m -bit string appears as input to f equal number of times.

Embedding into disjointness of size mM^2



Building Q from degree witness

- Choose Q to be a pattern tensor of function q .
- By structure of pattern tensor, $\langle f, q \rangle \sim \langle A, Q \rangle$.
- Following Degree/Discrepancy [[She07a](#), [Cha07](#), [She07b](#)], one can show $\mu^*(Q)$ is small if q contains only high degree terms.
- Thus to get good bounds we want to find q which correlates with f and has all terms with degree as large as possible.

Dual polynomial

More precisely, if $\deg_\alpha(f) \geq d$ then there exists a polynomial q such that

1. $\|q\|_1 = 1$
2. $\langle f, q \rangle \geq \frac{\alpha-1}{\alpha+1}$
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We let Q be the pattern tensor formed from q . Item 2 lower bounds $\langle A_f, Q \rangle$. Item 3 is used to upper bound $\mu^*(Q)$.

Main theorem

Let $\alpha < \alpha_0$.

$$\log \mu^\alpha(A_f) \geq \frac{\deg_{\alpha_0}(f)}{2^{k-1}} + \log \frac{\alpha_0 - \alpha}{\alpha_0 + 1}$$

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We can embed the pattern tensor of OR into disjointness to obtain

$$R_{1/4}(\text{DISJ}_n) = \Omega\left(\frac{n^{1/k+1}}{2^{2^k}}\right)$$

Conclusion

- Find a function in AC^0 whose NOF complexity remains non-trivial for more than $k = \log \log n$ players.
- For our particular approach (choosing Q as pattern tensor, using [\[BNS92\]](#) bound on discrepancy), analysis is tight.
- Our inspiration to the μ norm: γ_2 norm shown to lower bound quantum communication complexity by Linial and Shraibman.
- Follow-up work [\[LSS08\]](#) extends γ_2 to the multiparty case to lower bound multiparty quantum communication. We show that multiparty μ and γ_2 are related by constant factor to transfer all classical bounds to the quantum case.