19 Lexicons for Sentiment, Affect, and Connotation

"[W]e write, not with the fingers, but with the whole person. The nerve which controls the pen winds itself about every fibre of our being, threads the heart, pierces the liver."

Virginia Woolf, Orlando

"She runs the gamut of emotions from A to B." Dorothy Parker, reviewing Hepburn's performance in Little Women

affective

subjectivity

In this chapter we turn to tools for interpreting **affective** meaning, extending our study of sentiment analysis in Chapter 4. We use the word 'affective', following the tradition in *affective computing* (Picard, 1995) to mean emotion, sentiment, personality, mood, and attitudes. Affective meaning is closely related to **subjectivity**, the study of a speaker or writer's evaluations, opinions, emotions, and speculations (Wiebe et al., 1999).

How should affective meaning be defined? One influential typology of affective states comes from Scherer (2000), who defines each class of affective states by factors like its cognition realization and time course:

Emotion: Relatively brief episode of response to the evaluation of an external or internal event as being of major significance. (<i>angry, sad, joyful, fearful, ashamed, proud, elated, desperate</i>)
Mood: Diffuse affect state, most pronounced as change in subjective feeling, of low intensity but relatively long duration, often without apparent cause. (<i>cheerful, gloomy, irritable, listless, depressed, buoyant</i>)
Interpersonal stance: Affective stance taken toward another person in a spe- cific interaction, colouring the interpersonal exchange in that situation. (<i>distant, cold, warm, supportive, contemptuous, friendly</i>)
Attitude: Relatively enduring, affectively colored beliefs, preferences, and pre- dispositions towards objects or persons. (<i>liking, loving, hating, valuing, desiring</i>)
Personality traits: Emotionally laden, stable personality dispositions and be- havior tendencies, typical for a person. (nervous, anxious, reckless, morose, hostile, jealous)
Figure 19.1 The Scherer typology of affective states (Scherer, 2000).

We can design extractors for each of these kinds of affective states. Chapter 4 already introduced *sentiment analysis*, the task of extracting the positive or negative

orientation that a writer expresses in a text. This corresponds in Scherer's typology to the extraction of **attitudes**: figuring out what people like or dislike, from affect-rish texts like consumer reviews of books or movies, newspaper editorials, or public sentiment in blogs or tweets.

Detecting **emotion** and **moods** is useful for detecting whether a student is confused, engaged, or certain when interacting with a tutorial system, whether a caller to a help line is frustrated, whether someone's blog posts or tweets indicated depression. Detecting emotions like fear in novels, for example, could help us trace what groups or situations are feared and how that changes over time.

Detecting different **interpersonal stances** can be useful when extracting information from human-human conversations. The goal here is to detect stances like friendliness or awkwardness in interviews or friendly conversations, or even to detect flirtation in dating. For the task of automatically summarizing meetings, we'd like to be able to automatically understand the social relations between people, who is friendly or antagonistic to whom. A related task is finding parts of a conversation where people are especially excited or engaged, conversational **hot spots** that can help a summarizer focus on the correct region.

Detecting the **personality** of a user—such as whether the user is an **extrovert** or the extent to which they are **open to experience**— can help improve conversational agents, which seem to work better if they match users' personality expectations (Mairesse and Walker, 2008).

Affect is important for generation as well as recognition; synthesizing affect is important for conversational agents in various domains, including literacy tutors such as children's storybooks, or computer games.

In Chapter 4 we introduced the use of Naive Bayes classification to classify a document's sentiment. Various classifiers have been successfully applied to many of these tasks, using all the words in the training set as input to a classifier which then determines the affect status of the text.

In this chapter we focus on an alternative model, in which instead of using every word as a feature, we focus only on certain words, ones that carry particularly strong cues to affect or sentiment. We call these lists of words **affective lexicons** or **sentiment lexicons**. These lexicons presuppose a fact about semantics: that words have *affective meanings* or **connotations**. The word *connotation* has different meanings in different fields, but here we use it to mean the aspects of a word's meaning that are related to a writer or reader's emotions, sentiment, opinions, or evaluations. In addition to their ability to help determine the affective status of a text, connotation lexicons can be useful features for other kinds of affective tasks, and for computational social science analysis.

In the next sections we introduce basic theories of emotion, show how sentiment lexicons can be viewed as a special case of emotion lexicons, and then summarize some publicly available lexicons. We then introduce three ways for building new lexicons: human labeling, semi-supervised, and supervised.

Finally, we turn to some other kinds of affective meaning, including interpersonal stance, personality, and connotation frames.

19.1 Defining Emotion

emotion

One of the most important affective classes is **emotion**, which Scherer (2000) defines as a "relatively brief episode of response to the evaluation of an external or internal

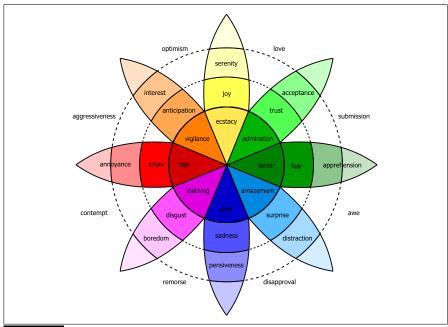
connotations

event as being of major significance".

Detecting emotion has the potential to improve a number of language processing tasks. Automatically detecting emotions in reviews or customer responses (anger, dissatisfaction, trust) could help businesses recognize specific problem areas or ones that are going well. Emotion recognition could help dialog systems like tutoring systems detect that a student was unhappy, bored, hesitant, confident, and so on. Emotion can play a role in medical informatics tasks like detecting depression or suicidal intent. Detecting emotions expressed toward characters in novels might play a role in understanding how different social groups were viewed by society at different times.

basic emotions

There are two widely-held families of theories of emotion. In one family, emotions are viewed as fixed atomic units, limited in number, and from which others are generated, often called **basic emotions** (Tomkins 1962, Plutchik 1962). Perhaps most well-known of this family of theories are the 6 emotions proposed by (Ekman, 1999) as a set of emotions that is likely to be universally present in all cultures: *surprise, happiness, anger, fear, disgust, sadness*. Another atomic theory is the (Plutchik, 1980) wheel of emotion, consisting of 8 basic emotions in four opposing pairs: *joy–sadness, anger–fear, trust–disgust,* and *anticipation–surprise,* together with the emotions derived from them, shown in Fig. 19.2.





The second class of emotion theories views emotion as a space in 2 or 3 dimensions (Russell, 1980). Most models include the two dimensions valence and arousal, and many add a third, **dominance**. These can be defined as:

valence: the pleasantness of the stimulus

arousal: the intensity of emotion provoked by the stimulus

dominance: the degree of control exerted by the stimulus

In the next sections we'll see lexicons for both kinds of theories of emotion.

Sentiment can be viewed as a special case of this second view of emotions as points in space. In particular, the **valence** dimension, measuring how pleasant or unpleasant a word is, is often used directly as a measure of sentiment.

19.2 Available Sentiment and Affect Lexicons

A wide variety of affect lexicons have been created and released. The most basic lexicons label words along one dimension of semantic variability, generally called "sentiment" or "valence".

In the simplest lexicons this dimension is represented in a binary fashion, with a wordlist for positive words and a wordlist for negative words. The oldest is the **General Inquirer** (Stone et al., 1966), which drew on early work in the cognition psychology of word meaning (Osgood et al., 1957) and on work in content analysis. The General Inquirer has a lexicon of 1915 positive words an done of 2291 negative words (and also includes other lexicons discussed below).

The MPQA Subjectivity lexicon (Wilson et al., 2005) has 2718 positive and 4912 negative words drawn from prior lexicons plus a bootstrapped list of subjective words and phrases (Riloff and Wiebe, 2003) Each entry in the lexicon is hand-labeled for sentiment and also labeled for reliability (strongly subjective or weakly subjective).

The polarity lexicon of Hu and Liu (2004) gives 2006 positive and 4783 negative words, drawn from product reviews, labeled using a bootstrapping method from WordNet.

Positive admire, amazing, assure, celebration, charm, eager, enthusiastic, excellent, fancy, fantastic, frolic, graceful, happy, joy, luck, majesty, mercy, nice, patience, perfect, proud, rejoice, relief, respect, satisfactorily, sensational, super, terrific, thank, vivid, wise, wonderful, zest

Negative abominable, anger, anxious, bad, catastrophe, cheap, complaint, condescending, deceit, defective, disappointment, embarrass, fake, fear, filthy, fool, guilt, hate, idiot, inflict, lazy, miserable, mourn, nervous, objection, pest, plot, reject, scream, silly, terrible, unfriendly, vile, wicked

Figure 19.3 Some samples of words with consistent sentiment across three sentiment lexicons: the General Inquirer (Stone et al., 1966), the MPQA Subjectivity lexicon (Wilson et al., 2005), and the polarity lexicon of Hu and Liu (2004).

Slightly more general than these sentiment lexicons are lexicons that assign each word a value on all three emotional dimension The lexicon of Warriner et al. (2013) assigns valence, arousal, and dominance scores to 14,000 words. Some examples are shown in Fig. 19.4

EmoLex

General Inquirer

> The NRC Word-Emotion Association Lexicon, also called **EmoLex** (Mohammad and Turney, 2013), uses the Plutchik (1980) 8 basic emotions defined above. The lexicon includes around 14,000 words including words from prior lexicons as well as frequent nouns, verbs, adverbs and adjectives. Values from the lexicon for some sample words:

Valence		Arou	ısal	Dominance		
vacation	8.53	rampage	7.56	self	7.74	
happy	8.47	tornado	7.45	incredible	7.74	
whistle	5.7	zucchini	4.18	skillet	5.33	
conscious	5.53	dressy	4.15	concur	5.29	
torture	1.4	dull	1.67	earthquake	2.14	

Figure 19.4 Samples of the values of selected words on the three emotional dimensions from Warriner et al. (2013).

Word	anger	anticipation	disgust	fear	joy	sadness	surprise	trust	positive	negative
reward	0	1	0	0	1	0	1	1	1	0
worry	0	1	0	1	0	1	0	0	0	1
tenderness	0	0	0	0	1	0	0	0	1	0
sweetheart	0	1	0	0	1	1	0	1	1	0
suddenly	0	0	0	0	0	0	1	0	0	0
thirst	0	1	0	0	0	1	1	0	0	0
garbage	0	0	1	0	0	0	0	0	0	1

There are various other hand-built affective lexicons. The General Inquirer includes additional lexicons for dimensions like strong vs. weak, active vs. passive, overstated vs. understated, as well as lexicons for categories like pleasure, pain, virtue, vice, motivation, and cognitive orientation.

concrete abstract Another useful feature for various tasks is the distinction between **concrete** words like *banana* or *bathrobe* and **abstract** words like *belief* and *although*. The lexicon in (Brysbaert et al., 2014) used crowdsourcing to assign a rating from 1 to 5 of the concreteness of 40,000 words, thus assigning *banana*, *bathrobe*, and *bagel* 5, *belief* 1.19, *although* 1.07, and in between words like *brisk* a 2.5.

LIWC

LIWC, Linguistic Inquiry and Word Count, is another set of 73 lexicons containing over 2300 words (Pennebaker et al., 2007), designed to capture aspects of lexical meaning relevant for social psychological tasks. In addition to sentimentrelated lexicons like ones for negative emotion (*bad, weird, hate, problem, tough*) and positive emotion (*love, nice, sweet*), LIWC includes lexicons for categories like anger, sadness, cognitive mechanisms, perception, tentative, and inhibition, shown in Fig. 19.5.

19.3 Creating affect lexicons by human labeling

crowdsourcing

The earliest method used to build affect lexicons, and still in common use, is to have humans label each word. This is now most commonly done via **crowdsourcing**: breaking the task into small pieces and distributing them to a large number of annotators. Let's take a look at some of the methodological choices for two crowdsourced emotion lexicons.

The NRC Word-Emotion Association Lexicon (EmoLex) (Mohammad and Turney, 2013), labeled emotions in two steps. In order to ensure that the annotators were judging the correct sense of the word, they first answered a multiple-choice

Positive	Negative				
Emotion	Emotion	Insight	Inhibition	Family	Negate
appreciat*	anger*	aware*	avoid*	brother*	aren't
comfort*	bore*	believe	careful*	cousin*	cannot
great	cry	decid*	hesitat*	daughter*	didn't
happy	despair*	feel	limit*	family	neither
interest	fail*	figur*	oppos*	father*	never
joy*	fear	know	prevent*	grandf*	no
perfect*	griev*	knew	reluctan*	grandm*	nobod*
please*	hate*	means	safe*	husband	none
safe*	panic*	notice*	stop	mom	nor
terrific	suffers	recogni*	stubborn*	mother	nothing
value	terrify	sense	wait	niece*	nowhere
wow*	violent*	think	wary	wife	without

Figure 19.5 Samples from 5 of the 73 lexical categories in LIWC (Pennebaker et al., 2007). The * means the previous letters are a word prefix and all words with that prefix are included in the category.

synonym question that primed the correct sense of the word (without requiring the annotator to read a potentially confusing sense definition). These were created automatically using the headwords associated with the thesaurus category of the sense in question in the Macquarie dictionary and the headwords of 3 random distractor categories. An example:

Which word is closest in meaning (most related) to startle?

- automobile
- shake
- honesty
- entertain

For each word (e.g. *startle*), the annotator was then asked to rate how associated that word is with each of the 8 emotions (*joy, fear, anger*, etc.). The associations were rated on a scale of *not, weakly, moderately*, and *strongly* associated. Outlier ratings were removed, and then each term was assigned the class chosen by the majority of the annotators, with ties broken by choosing the stronger intensity, and then the 4 levels were mapped into a binary label for each word (no and weak mapped to 0, moderate and strong mapped to 1).

For the Warriner et al. (2013) lexicon of valence, arousal, and dominance, crowdworkers marked each word with a value from 1-9 on each of the dimensions, with the scale defined for them as follows:

- valence (the pleasantness of the stimulus)
 9: happy, pleased, satisfied, contented, hopeful
 1: unhappy, annoved, unsatisfied, melancholic, despaired, or bored
- arousal (the intensity of emotion provoked by the stimulus)
 9: stimulated, excited, frenzied, jittery, wide-awake, or aroused
 1: relaxed, calm, sluggish, dull, sleepy, or unaroused;
- dominance (the degree of control exerted by the stimulus)
 9: in control, influential, important, dominant, autonomous, or controlling
 1: controlled, influenced, cared-for, awed, submissive, or guided

19.4 Semi-supervised induction of affect lexicons

Another common way to learn sentiment lexicons is to start from a set of seed words that define two poles of a semantic axis (words like *good* or *bad*), and then find ways to label each word *w* by its similarity to the two seed sets. Here we summarize two families of seed-based semi-supervised lexicon induction algorithms, axis-based and graph-based.

19.4.1 Semantic axis methods

One of the most well-known lexicon induction methods, the Turney and Littman (2003) algorithm, is given seed words like *good* or *bad*, and then for each word *w* to be labeled, measures both how similar it is to *good* and how different it is from *bad*. Here we describe a slight extension of the algorithm due to An et al. (2018), which is based on computing a **semantic axis**.

In the first step, we choose seed words by hand. Because the sentiment or affect of a word is different in different contexts, it's common to choose different seed words for different genres, and most algorithms are quite sensitive to the choice of seeds. For example, for inducing sentiment lexicons, Hamilton et al. (2016) defines one set of seed words for general sentiment analyis, a different set for Twitter, and yet another set for learning a lexicon for sentiment in financial text:

Domain	Positive seeds	Negative seeds
General	good, lovely, excellent, fortunate, pleas- ant, delightful, perfect, loved, love, happy	bad, horrible, poor, unfortunate, un- pleasant, disgusting, evil, hated, hate, unhappy
Twitter	love, loved, loves, awesome, nice, amazing, best, fantastic, correct, happy	hate, hated, hates, terrible, nasty, awful, worst, horrible, wrong, sad
Finance	successful, excellent, profit, beneficial, improving, improved, success, gains, positive	negligent, loss, volatile, wrong, losses, damages, bad, litigation, failure, down, negative

In the second step, we compute embeddings for each of the pole words. These embeddings can be off-the-shelf word2vec embeddings, or can be computed directly on a specific corpus (for example using a financial corpus if a finance lexicon is the goal), or we can fine-tune off-the-shelf embeddings to a corpus. Fine-tuning is especially important if we have a very specific genre of text but don't have enough data to train good embeddings. In fine-tuning, we begin with off-the-shelf embeddings like word2vec, and continue training them on the small target corpus.

Once we have embeddings for each pole word, we we create an embedding that represents each pole by taking the centroid of the embeddings of each of the seed words; recall that the centroid is the multidimensional version of the mean. Given a set of embeddings for the positive seed words $S^+ = \{E(w_1^+), E(w_2^+), ..., E(w_n^+)\}$, and embeddings for the negative seed words $S^- = \{E(w_1^-), E(w_2^-), ..., E(w_m^-)\}$, the

pole centroids are:

$$\mathbf{V}^{+} = \frac{1}{n} \sum_{1}^{n} E(w_{i}^{+})$$
$$\mathbf{V}^{-} = \frac{1}{n} \sum_{1}^{m} E(w_{i}^{-})$$
(19.1)

The semantic axis defined by the poles is computed just by subtracting the two vectors:

$$\mathbf{V}_{axis} = \mathbf{V}^+ - \mathbf{V}_- \tag{19.2}$$

 V_{axis} , the semantic axis, is a vector in the direction of sentiment. Finally, we compute how close each word w is to this sentiment axis, by taking the cosine between w's embedding and the axis vector. A higher cosine means that w is more aligned with S^+ than S^- .

score(w) =
$$(\cos(E(w), \mathbf{V}_{axis}))$$

= $\frac{E(w) \cdot \mathbf{V}_{axis}}{\|E(w)\| \|\mathbf{V}_{axis}\|}$ (19.3)

If a dictionary of words with sentiment scores is sufficient, we're done! Or if we need to group words into a positive and a negative lexicon, we can use a threshold or other method to give us discrete lexicons.

19.4.2 Label propagation

An alternative family of methods defines lexicons by propagating sentiment labels on graphs, an idea suggested in early work by Hatzivassiloglou and McKeown (1997). We'll describe the simple SentProp (Sentiment Propagation) algorithm of Hamilton et al. (2016), which has four steps:

1. **Define a graph**: Given word embeddings, build a weighted lexical graph by connecting each word with its k nearest neighbors (according to cosine-similarity). The weights of the edge between words w_i and w_j are set as:

$$\mathbf{E}_{i,j} = \arccos\left(-\frac{\mathbf{w}_{i}^{\top}\mathbf{w}_{j}}{\|\mathbf{w}_{i}\|\|\mathbf{w}_{j}\|}\right). \tag{19.4}$$

- 2. Define a seed set: By hand, choose positive and negative seed words.
- 3. **Propagate polarities from the seed set:** Now we perform a random walk on this graph, starting at the seed set. In a random walk, we start at a node and then choose a node to move to with probability proportional to the edge probability. A word's polarity score for a seed set is proportional to the probability of a random walk from the seed set landing on that word, (Fig. 19.6).
- 4. **Create word scores**: We walk from both positive and negative seed sets, resulting in positive (score⁺(w_i)) and negative (score⁻(w_i)) label scores. We then combine these values into a positive-polarity score as:

$$\operatorname{score}^{+}(w_{i}) = \frac{\operatorname{score}^{+}(w_{i})}{\operatorname{score}^{+}(w_{i}) + \operatorname{score}^{-}(w_{i})}$$
(19.5)

It's often helpful to standardize the scores to have zero mean and unit variance within a corpus.

5. Assign confidence to each score: Because sentiment scores are influenced by the seed set, we'd like to know how much the score of a word would change if a different seed set is used. We can use bootstrap-sampling to get confidence regions, by computing the propagation *B* times over random subsets of the positive and negative seed sets (for example using B = 50 and choosing 7 of the 10 seed words each time). The standard deviation of the bootstrap-sampled polarity scores gives a confidence measure.

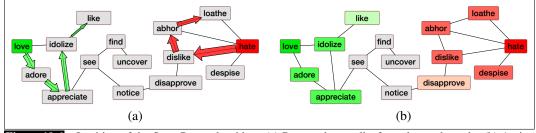


Figure 19.6 Intuition of the SENTPROP algorithm. (a) Run random walks from the seed words. (b) Assign polarity scores (shown here as colors green or red) based on the frequency of random walk visits.

19.4.3 Other methods

The core of semisupervised algorithms is the metric for measuring similarity with the seed words. The Turney and Littman (2003) and Hamilton et al. (2016) approaches above used embedding cosine as the distance metric: words were labeled as positive basically if their embeddings had high cosines with positive seeds and low cosines with negative seeds. Other methods have chosen other kinds of distance metrics besides embedding cosine.

For example the Hatzivassiloglou and McKeown (1997) algorithm uses syntactic cues; two adjectives are considered similar if they were frequently conjoined by *and* and rarely conjoined by *but*. This is based on the intuition that adjectives conjoined by the words *and* tend to have the same polarity; positive adjectives are generally coordinated with positive, negative with negative:

fair and legitimate, corrupt and brutal

but less often positive adjectives coordinated with negative:

*fair and brutal, *corrupt and legitimate

By contrast, adjectives conjoined by but are likely to be of opposite polarity:

fair but brutal

Another cue to opposite polarity comes from morphological negation (*un-*, *im-*, *-less*). Adjectives with the same root but differing in a morphological negative (*ad-equate/inadequate*, *thoughtful/thoughtless*) tend to be of opposite polarity.

Yet another method for finding words that have a similar polarity to seed words is to make use of a thesaurus like WordNet (Kim and Hovy 2004, Hu and Liu 2004). A word's synonyms presumably share its polarity while a word's antonyms probably have the opposite polarity. After a seed lexicon is built, each lexicon is updated as follows, possibly iterated.

Lex⁺: Add synonyms of positive words (*well*) and antonyms (like *fine*) of negative words

Lex⁻: Add synonyms of negative words (*awful*) and antonyms (like *evil*) of positive words

An extension of this algorithm assigns polarity to WordNet senses, called **Senti-SentiWordNet** (Baccianella et al., 2010). Fig. 19.7 shows some examples.

Synset	Pos	Neg	Obj
good#6 'agreeable or pleasing'	1	0	0
respectable#2 honorable#4 good#4 estimable#2 'deserving of esteem'	0.75	0	0.25
estimable#3 computable#1 'may be computed or estimated'	0	0	1
sting#1 burn#4 bite#2 'cause a sharp or stinging pain'	0	0.875	.125
acute#6 'of critical importance and consequence'	0.625	0.125	.250
acute#4 'of an angle; less than 90 degrees'	0	0	1
acute#1 'having or experiencing a rapid onset and short but severe course'	0	0.5	0.5

Figure 19.7 Examples from SentiWordNet 3.0 (Baccianella et al., 2010). Note the differences between senses of homonymous words: *estimable#3* is purely objective, while *estimable#2* is positive; *acute* can be positive (*acute#6*), negative (*acute#1*), or neutral (*acute #4*)

In this algorithm, polarity is assigned to entire synsets rather than words. A positive lexicon is built from all the synsets associated with 7 positive words, and a negative lexicon from synsets associated with 7 negative words. A classifier is then trained from this data to take a WordNet gloss and decide if the sense being defined is positive, negative or neutral. A further step (involving a random-walk algorithm) assigns a score to each WordNet synset for its degree of positivity, negativity, and neutrality.

In summary, semisupervised algorithms use a human-defined set of seed words for the two poles of a dimension, and use similarity metrics like embedding cosine, coordination, morphology, or thesaurus structure to score words by how similar they are to the positive seeds and how dissimilar to the negative seeds.

19.5 Supervised learning of word sentiment

Semi-supervised methods require only minimal human supervision (in the form of seed sets). But sometimes a supervision signal exists in the world and can be made use of. One such signal is the scores associated with *online reviews*.

The web contains an enormous number of online reviews for restaurants, movies, books, or other products, each of which have the text of the review along with an associated review score: a value that may range from 1 star to 5 stars, or scoring 1 to 10. Fig. 19.8 shows samples extracted from restaurant, book, and movie reviews.

We can use this review score as supervision: positive words are more likely to appear in 5-star reviews; negative words in 1-star reviews. And instead of just a binary polarity, this kind of supervision allows us to assign a word a more complex representation of its polarity: its distribution over stars (or other scores).

Thus in a ten-star system we could represent the sentiment of each word as a 10-tuple, each number a score representing the word's association with that polarity level. This association can be a raw count, or a likelihood P(w|c), or some other function of the count, for each class *c* from 1 to 10.

For example, we could compute the IMDB likelihood of a word like *disap*point(ed/ing) occurring in a 1 star review by dividing the number of times *disap*point(ed/ing) occurs in 1-star reviews in the IMDB dataset (8,557) by the total num-

Movie review excerpts (IMDB)

- **10** A great movie. This film is just a wonderful experience. It's surreal, zany, witty and slapstick all at the same time. And terrific performances too.
- 1 This was probably the worst movie I have ever seen. The story went nowhere even though they could have done some interesting stuff with it.

Restaurant review excerpts (Yelp)

- **5** The service was impeccable. The food was cooked and seasoned perfectly... The watermelon was perfectly square ... The grilled octopus was ... mouthwatering...
- 2 ...it took a while to get our waters, we got our entree before our starter, and we never received silverware or napkins until we requested them...

Book review excerpts (GoodReads)

- 1 I am going to try and stop being deceived by eye-catching titles. I so wanted to like this book and was so disappointed by it.
- **5** This book is hilarious. I would recommend it to anyone looking for a satirical read with a romantic twist and a narrator that keeps butting in

Product review excerpts (Amazon)

- **5** The lid on this blender though is probably what I like the best about it... enables you to pour into something without even taking the lid off! ... the perfect pitcher! ... works fantastic.
- 1 I hate this blender... It is nearly impossible to get frozen fruit and ice to turn into a smoothie... You have to add a TON of liquid. I also wish it had a spout ...

Figure 19.8 Excerpts from some reviews from various review websites, all on a scale of 1 to 5 stars except IMDB, which is on a scale of 1 to 10 stars.

ber of words occurring in 1-star reviews (25,395,214), so the IMDB estimate of P(disappointing|1) is .0003.

A slight modification of this weighting, the normalized likelihood, can be used as an illuminating visualization (Potts, 2011)¹:

$$P(w|c) = \frac{count(w,c)}{\sum_{w \in C} count(w,c)}$$

$$PottsScore(w) = \frac{P(w|c)}{\sum_{c} P(w|c)}$$
(19.6)

Dividing the IMDB estimate P(disappointing|1) of .0003 by the sum of the likelihood P(w|c) over all categories gives a Potts score of 0.10. The word *disappointing* thus is associated with the vector [.10, .12, .14, .14, .13, .11, .08, .06, .06, .05]. The **Potts diagram** (Potts, 2011) is a visualization of these word scores, representing the prior sentiment of a word as a distribution over the rating categories.

Fig. 19.9 shows the Potts diagrams for 3 positive and 3 negative scalar adjectives. Note that the curve for strongly positive scalars have the shape of the letter J, while strongly negative scalars look like a reverse J. By contrast, weakly positive and negative scalars have a hump-shape, with the maximum either below the mean (weakly negative words like *disappointing*) or above the mean (weakly positive words like *good*). These shapes offer an illuminating typology of affective word meaning.

Fig. 19.10 shows the Potts diagrams for emphasizing and attenuating adverbs. Again we see generalizations in the characteristic curves associated with words of particular meanings. Note that emphatics tend to have a J-shape (most likely to occur

Potts diagram

¹ Potts shows that the normalized likelihood is an estimate of the posterior P(c|w) if we make the incorrect but simplifying assumption that all categories *c* have equal probability.

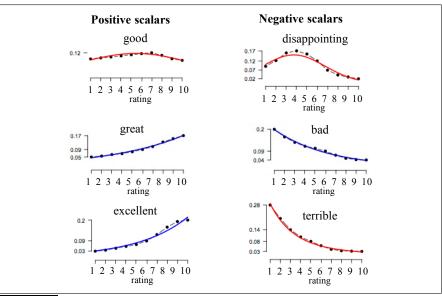
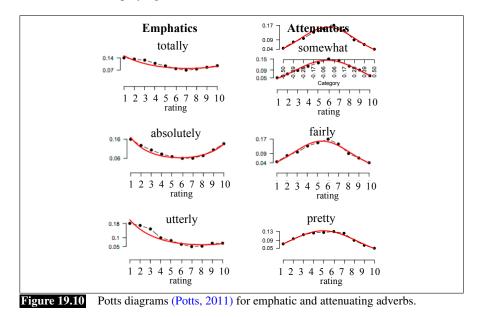


Figure 19.9 Potts diagrams (Potts, 2011) for positive and negative scalar adjectives, showing the J-shape and reverse J-shape for strongly positive and negative adjectives, and the hump-shape for more weakly polarized adjectives.

in the most positive reviews) or a U-shape (most likely to occur in the strongly positive and negative). Attenuators all have the hump-shape, emphasizing the middle of the scale and downplaying both extremes.



The diagrams can be used both as a typology of lexical sentiment, and also play a role in modeling sentiment compositionality.

In addition to functions like posterior P(c|w), likelihood P(w|c), or normalized likelihood (Eq. 19.6) many other functions of the count of a word occurring with a sentiment label have been used. We'll introduce some of these on page 17, including ideas like normalizing the counts per writer in Eq. 19.14.

19.5.1 Log odds ratio informative Dirichlet prior

One thing we often want to do with word polarity is to distinguish between words that are more likely to be used in one category of texts than in another. We may, for example, want to know the words most associated with 1 star reviews versus those associated with 5 star reviews. These differences may not be just related to sentiment. We might want to find words used more often by Democratic than Republican members of Congress, or words used more often in menus of expensive restaurants than cheap restaurants.

Given two classes of documents, to find words more associated with one category than another, we might choose to just compute the difference in frequencies (is a word w more frequent in class A or class B?). Or instead of the difference in frequencies we might want to compute the ratio of frequencies, or the log odds ratio (the log of the ratio between the odds of the two words). Then we can sort words by whichever of these associations with the category we use, (sorting from words overrepresented in category A to words overrepresented in category B).

The problem with simple log-likelihood or log odds methods is that they don't work well for very rare words or very frequent words; for words that are very frequent, all differences seem large, and for words that are very rare, no differences seem large.

In this section we walk through the details of one solution to this problem: the "log odds ratio informative Dirichlet prior" method of Monroe et al. (2008) that is a particularly useful method for finding words that are statistically overrepresented in one particular category of texts compared to another. It's based on the idea of using another large corpus to get a prior estimate of what we expect the frequency of each word to be.

log likelihood ratio

Let's start with the goal: assume we want to know whether the word horrible occurs more in corpus *i* or corpus *j*. We could compute the log likelihood ratio, using $f^{i}(w)$ to mean the frequency of word w in corpus i, and n^{i} to mean the total number of words in corpus *i*:

$$llr(horrible) = log \frac{P^{i}(horrible)}{P^{j}(horrible)}$$

= log Pⁱ(horrible) - log P^j(horrible)
= log \frac{f^{i}(horrible)}{n^{i}} - log \frac{f^{j}(horrible)}{n^{j}}(19.7)

Instead, let's compute the log odds ratio: does horrible have higher odds in i or in j:

$$\begin{aligned} \log(horrible) &= \log\left(\frac{P^{i}(horrible)}{1 - P^{i}(horrible)}\right) - \log\left(\frac{P^{j}(horrible)}{1 - P^{j}(horrible)}\right) \\ &= \log\left(\frac{\frac{f^{i}(horrible)}{n^{i}}}{1 - \frac{f^{i}(horrible)}{n^{i}}}\right) - \log\left(\frac{\frac{f^{j}(horrible)}{n^{j}}}{1 - \frac{f^{j}(horrible)}{n^{j}}}\right) \\ &= \log\left(\frac{f^{i}(horrible)}{n^{i} - f^{i}(horrible)}\right) - \log\left(\frac{f^{j}(horrible)}{n^{j} - f^{j}(horrible)}\right) \tag{19.8}$$

The Dirichlet intuition is to use a large background corpus to get a prior estimate of what we expect the frequency of each word w to be. We'll do this very simply by

log odds ratio

adding the counts from that corpus to the numerator and denominator, so that we're essentially shrinking the counts toward that prior. It's like asking how large are the differences between i and j given what we would expect given their frequencies in a well-estimated large background corpus.

The method estimates the difference between the frequency of word *w* in two corpora *i* and *j* via the prior-modified log odds ratio for *w*, $\delta_w^{(i-j)}$, which is estimated as:

$$\delta_w^{(i-j)} = \log\left(\frac{f_w^i + \alpha_w}{n^i + \alpha_0 - (f_w^i + \alpha_w)}\right) - \log\left(\frac{f_w^j + \alpha_w}{n^j + \alpha_0 - (f_w^j + \alpha_w)}\right)$$
(19.9)

(where n^i is the size of corpus i, n^j is the size of corpus j, f_w^i is the count of word w in corpus i, f_w^j is the count of word w in corpus j, α_0 is the size of the background corpus, and α_w is the count of word w in the background corpus.)

In addition, Monroe et al. (2008) make use of an estimate for the variance of the log–odds–ratio:

$$\sigma^2\left(\hat{\delta}_w^{(i-j)}\right) \approx \frac{1}{f_w^i + \alpha_w} + \frac{1}{f_w^j + \alpha_w}$$
(19.10)

The final statistic for a word is then the z-score of its log-odds-ratio:

$$\frac{\hat{\delta}_{w}^{(i-j)}}{\sqrt{\sigma^{2}\left(\hat{\delta}_{w}^{(i-j)}\right)}}$$
(19.11)

The Monroe et al. (2008) method thus modifies the commonly used log odds ratio in two ways: it uses the z-scores of the log odds ratio, which controls for the amount of variance in a words frequency, and it uses counts from a background corpus to provide a prior count for words.

Fig. 19.11 shows the method applied to a dataset of restaurant reviews from Yelp, comparing the words used in 1-star reviews to the words used in 5-star reviews (Jurafsky et al., 2014). The largest difference is in obvious sentiment words, with the 1-star reviews using negative sentiment words like *worse, bad, awful* and the 5-star reviews using positive sentiment words like *great, best, amazing*. But there are other illuminating differences. 1-star reviews use logical negation (*no, not*), while 5-star reviews use emphatics and emphasize universality (*very, highly, every, always*). 1-star reviews use first person plurals (*we, us, our*) while 5 star reviews use the second person. 1-star reviews talk about people (*manager, waiter, customer*) while 5-star reviews talk about dessert and properties of expensive restaurants like courses and atmosphere. See Jurafsky et al. (2014) for more details.

19.6 Using Lexicons for Sentiment Recognition

In Chapter 4 we introduced the naive Bayes algorithm for sentiment analysis. The lexicons we have focused on throughout the chapter so far can be used in a number of ways to improve sentiment detection.

In the simplest case, lexicons can be used when we don't have sufficient training data to build a supervised sentiment analyzer; it can often be expensive to have a human assign sentiment to each document to train the supervised classifier.

19.7 • OTHER TASKS: PERSONALITY 15

Class	Words in 1-star reviews	Class	Words in 5-star reviews
Negative	worst, rude, terrible, horrible,	Positive	great, best, love(d), delicious,
	bad, awful, disgusting, bland,		amazing, favorite, perfect, excel-
	tasteless, gross, mediocre, over-		lent, awesome, friendly, fantastic,
	priced, worse, poor		fresh, wonderful, incredible, sweet, yum(my)
Negation	no, not	Emphatics/	very, highly, perfectly, definitely, ab-
		universals	solutely, everything, every, always
1Pl pro	we, us, our	2 pro	уои
3 pro	she, he, her, him	Articles	a, the
Past verb	was, were, asked, told, said, did,	Advice	try, recommend
	charged, waited, left, took		
Sequencers	s after, then	Conjunct	also, as, well, with, and
Nouns	manager, waitress, waiter, cus-	Nouns	atmosphere, dessert, chocolate,
	tomer, customers, attitude, waste,		wine, course, menu
	poisoning, money, bill, minutes		
Irrealis	would, should	Auxiliaries	is/'s, can, 've, are
modals			
Comp	to, that	Prep, other	in, of, die, city, mouth

Figure 19.11 The top 50 words associated with one–star and five-star restaurant reviews in a Yelp dataset of 900,000 reviews, using the Monroe et al. (2008) method (Jurafsky et al., 2014).

In such situations, lexicons can be used in a simple rule-based algorithm for classification. The simplest version is just to use the ratio of positive to negative words: if a document has more positive than negative words (using the lexicon to decide the polarity of each word in the document), it is classified as positive. Often a threshold λ is used, in which a document is classified as positive only if the ratio is greater than λ . If the sentiment lexicon includes positive and negative weights for each word, θ_w^+ and θ_w^- , these can be used as well. Here's a simple such sentiment algorithm:

$$f^{+} = \sum_{\substack{w \text{ s.t. } w \in positive lexicon}} \theta^{+}_{w} count(w)$$

$$f^{-} = \sum_{\substack{w \text{ s.t. } w \in negative lexicon}} \theta^{-}_{w} count(w)$$

$$sentiment = \begin{cases} + \text{ if } \frac{f^{+}}{f^{-}} > \lambda \\ - \text{ if } \frac{f^{-}}{f^{+}} > \lambda \\ 0 \text{ otherwise.} \end{cases}$$
(19.12)

If supervised training data is available, these counts computed from sentiment lexicons, sometimes weighted or normalized in various ways, can also be used as features in a classifier along with other lexical or non-lexical features. We return to such algorithms in Section 19.8.

19.7 Other tasks: Personality

personality

Many other kinds of affective meaning can be extracted from text and speech. For example detecting a person's **personality** from their language can be useful for dialog systems (users tend to prefer agents that match their personality), and can play

a useful role in computational social science questions like understanding how personality is related to other kinds of behavior.

Many theories of human personality are based around a small number of dimensions, such as various versions of the "Big Five" dimensions (Digman, 1990):

Extroversion vs. Introversion: sociable, assertive, playful vs. aloof, reserved, shy

Emotional stability vs. Neuroticism: calm, unemotional vs. insecure, anxious

- Agreeableness vs. Disagreeableness: friendly, cooperative vs. antagonistic, faultfinding
- **Conscientiousness vs. Unconscientiousness:** self-disciplined, organized vs. inefficient, careless
- Openness to experience: intellectual, insightful vs. shallow, unimaginative

A few corpora of text and speech have been labeled for the personality of their author by having the authors take a standard personality test. The essay corpus of Pennebaker and King (1999) consists of 2,479 essays (1.9 million words) from psychology students who were asked to "write whatever comes into your mind" for 20 minutes. The EAR (Electronically Activated Recorder) corpus of Mehl et al. (2006) was created by having volunteers wear a recorder throughout the day, which randomly recorded short snippets of conversation throughout the day, which were then transcribed. The Facebook corpus of (Schwartz et al., 2013) includes 309 million words of Facebook posts from 75,000 volunteers.

For example, here are samples from Pennebaker and King (1999) from an essay written by someone on the neurotic end of the neurotic/emotionally stable scale,

One of my friends just barged in, and I jumped in my seat. This is crazy. I should tell him not to do that again. I'm not that fastidious actually. But certain things annoy me. The things that would annoy me would actually annoy any normal human being, so I know I'm not a freak.

and someone on the emotionally stable end of the scale:

I should excel in this sport because I know how to push my body harder than anyone I know, no matter what the test I always push my body harder than everyone else. I want to be the best no matter what the sport or event. I should also be good at this because I love to ride my bike.

interpersonal stance Another kind of affective meaning is what Scherer (2000) calls **interpersonal stance**, the 'affective stance taken toward another person in a specific interaction coloring the interpersonal exchange'. Extracting this kind of meaning means automatically labeling participants for whether they are friendly, supportive, distant. For example Ranganath et al. (2013) studied a corpus of speed-dates, in which participants went on a series of 4-minute romantic dates, wearing microphones. Each participant labeled each other for how flirtatious, friendly, awkward, or assertive they were. Ranganath et al. (2013) then used a combination of lexicons and other features to detect these interpersonal stances from text.

19.8 Affect Recognition

Detection of emotion, personality, interactional stance, and the other kinds of affective meaning described by Scherer (2000) can be done by generalizing the algorithms described above for detecting sentiment.

The most common algorithms involve supervised classification: a training set is labeled for the affective meaning to be detected, and a classifier is built using features extracted from the training set. As with sentiment analysis, if the training set is large enough, and the test set is sufficiently similar to the training set, simply using all the words or all the bigrams as features in a powerful classifier like SVM or logistic regression, as described in Fig. **??** in Chapter 4, is an excellent algorithm whose performance is hard to beat. Thus we can treat affective meaning classification of a text sample as simple document classification.

Some modifications are nonetheless often necessary for very large datasets. For example, the Schwartz et al. (2013) study of personality, gender, and age using 700 million words of Facebook posts used only a subset of the n-grams of lengths 1-3. Only words and phrases used by at least 1% of the subjects were included as features, and 2-grams and 3-grams were only kept if they had sufficiently high PMI (PMI greater than 2 * length, where *length* is the number of words):

$$pmi(phrase) = \log \frac{p(phrase)}{\prod_{w \in phrase} p(w)}$$
(19.13)

Various weights can be used for the features, including the raw count in the training set, or some normalized probability or log probability. Schwartz et al. (2013), for example, turn feature counts into phrase likelihoods by normalizing them by each subject's total word use.

$$p(phrase|subject) = \frac{\text{freq}(phrase, subject)}{\sum_{phrase' \in \text{vocab}(subject)} \text{freq}(phrase', subject)}$$
(19.14)

If the training data is sparser, or not as similar to the test set, any of the lexicons we've discussed can play a helpful role, either alone or in combination with all the words and n-grams.

Many possible values can be used for lexicon features. The simplest is just an indicator function, in which the value of a feature f_L takes the value 1 if a particular text has any word from the relevant lexicon *L*. Using the notation of Chapter 4, in which a feature value is defined for a particular output class *c* and document *x*.

$$f_L(c,x) = \begin{cases} 1 & \text{if } \exists w : w \in L \& w \in x \& class = c \\ 0 & \text{otherwise} \end{cases}$$

Alternatively the value of a feature f_L for a particular lexicon L can be the total number of word *tokens* in the document that occur in L:

$$f_L = \sum_{w \in L} count(w)$$

For lexica in which each word is associated with a score or weight, the count can be multiplied by a weight θ_w^L :

$$f_L = \sum_{w \in L} \theta_w^L count(w)$$

Counts can alternatively be logged or normalized per writer as in Eq. 19.14.

However they are defined, these lexicon features are then used in a supervised classifier to predict the desired affective category for the text or document. Once a classifier is trained, we can examine which lexicon features are associated with which classes. For a classifier like logistic regression the feature weight gives an indication of how associated the feature is with the class.

Thus, for example, Mairesse and Walker (2008) found that for classifying personality, for the dimension *Agreeable*, the LIWC lexicons *Family* and *Home* were positively associated while the LIWC lexicons *anger* and *swear* were negatively associated. By contrast, Extroversion was positively associated with the *Friend*, *Religion* and *Self* lexicons, and Emotional Stability was positively associated with *Sports* and negatively associated with *Negative Emotion*.

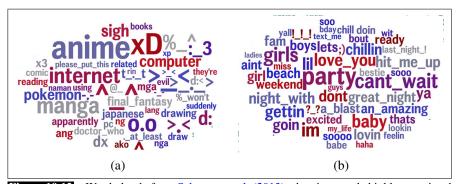


Figure 19.12 Word clouds from Schwartz et al. (2013), showing words highly associated with introversion (left) or extroversion (right). The size of the word represents the association strength (the regression coefficient), while the color (ranging from cold to hot) represents the relative frequency of the word/phrase (from low to high).

In the situation in which we use all the words and phrases in the document as potential features, we can use the resulting weights from the learned regression classifier as the basis of an affective lexicon. In the Extroversion/Introversion classifier of Schwartz et al. (2013), ordinary least-squares regression is used to predict the value of a personality dimension from all the words and phrases. The resulting regression coefficient for each word or phrase can be used as an association value with the predicted dimension. The word clouds in Fig. 19.12 show an example of words associated with introversion (a) and extroversion (b).

19.9 Connotation Frames

connotation frame The lexicons we've described so far define a word as a point in affective space. A **connotation frame**, by contrast, is lexicon that incorporates a richer kind of grammatical structure, by combining affective lexicons with the frame semantic lexicons of Chapter 18. The basic insight of connotation frame lexicons is that a predicate like a verb expresses connotations about the verb's arguments (Rashkin et al. 2016, Rashkin et al. 2017).

Consider sentences like:

- (19.15) Country A violated the sovereignty of Country B
- (19.16) the teenager ... survived the Boston Marathon bombing"

By using the verb *violate* in (19.15), the author is expressing their sympathies with Country B, portraying Country B as a victim, and expressing antagonism toward the agent Country A. By contrast, in using the verb *survive*, the author of (19.16) is expressing that the bombing is a negative experience, and the subject of the sentence the teenager, is a sympathetic character. These aspects of connotation are inherent in the meaning of the verbs *violate* and *survive*, as shown in Fig. 19.13.

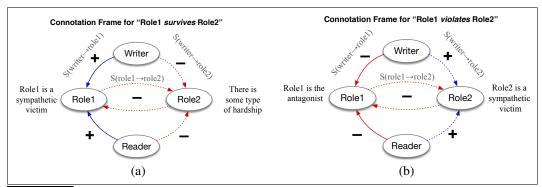


Figure 19.13 Connotation frames for *survive* and *violate*. (a) For *survive*, the writer and reader have positive sentiment toward Role1, the subject, and negative sentiment toward Role2, the direct object. (b) For *violate*, the writer and reader have positive sentiment instead toward Role2, the direct object.

The connotation frame lexicons of Rashkin et al. (2016) and Rashkin et al. (2017) also express other connotative aspects of the predicate toward each argument, including the *effect* (something bad happened to x) *value*: (x is valuable), and *mental state*: (x is distressed by the event). Connotation frames can also mark aspects of power and agency; see Chapter 18 (Sap et al., 2017).

Connotation frames can be built by hand (Sap et al., 2017), or they can be learned by supervised learning (Rashkin et al., 2016), for example using hand-labeled training data to supervise classifiers for each of the individual relations, e.g., whether S(writer \rightarrow Role1) is + or -, and then improving accuracy via global constraints across all relations.

19.10 Summary

- Many kinds of affective states can be distinguished, including *emotions*, *moods*, *attitudes* (which include *sentiment*), *interpersonal stance*, and *personality*.
- Emotion can be represented by fixed atomic units often called **basic emo**tions, or as points in space defined by dimensions like valence and arousal.
- Words have **connotational** aspects related to these affective states, and this connotational aspect of word meaning can be represented in lexicons.
- Affective lexicons can be built by hand, using **crowd sourcing** to label the affective content of each word.
- Lexicons can be built with **semi-supervised**, bootstrapping from seed words using similarity metrics like embedding cosine.
- Lexicons can be learned in a **fully supervised** manner, when a convenient training signal can be found in the world, such as ratings assigned by users on a review site.

- Words can be assigned weights in a lexicon by using various functions of word counts in training texts, and ratio metrics like **log odds ratio informative Dirichlet prior**.
- Personality is often represented as a point in 5-dimensional space.
- Affect can be detected, just like sentiment, by using standard supervised **text classification** techniques, using all the words or bigrams in a text as features. Additional features can be drawn from counts of words in lexicons.
- Lexicons can also be used to detect affect in a **rule-based classifier** by picking the simple majority sentiment based on counts of words in each lexicon.
- Connotation frames express richer relations of affective meaning that a predicate encodes about its arguments.

Bibliographical and Historical Notes

The idea of formally representing the subjective meaning of words began with Osgood et al. (1957), the same pioneering study that first proposed the vector space model of meaning described in Chapter 6. Osgood et al. (1957) had participants rate words on various scales, and ran factor analysis on the ratings. The most significant factor they uncovered was the evaluative dimension, which distinguished between pairs like *good/bad*, *valuable/worthless*, *pleasant/unpleasant*. This work influenced the development of early dictionaries of sentiment and affective meaning in the field of **content analysis** (Stone et al., 1966).

subjectivity

Wiebe (1994) began an influential line of work on detecting **subjectivity** in text, beginning with the task of identifying subjective sentences and the subjective characters who are described in the text as holding private states, beliefs or attitudes. Learned sentiment lexicons such as the polarity lexicons of (Hatzivassiloglou and McKeown, 1997) were shown to be a useful feature in subjectivity detection (Hatzivassiloglou and Wiebe 2000).

The term **sentiment** seems to have been introduced in 2001 by Das and Chen (2001), to describe the task of measuring market sentiment by looking at the words in stock trading message boards. In the same paper Das and Chen (2001) also proposed the use of a sentiment lexicon. The list of words in the lexicon was created by hand, but each word was assigned weights according to how much it discriminated a particular class (say buy versus sell) by maximizing across-class variation and minimizing within-class variation. The term *sentiment*, and the use of lexicons, caught on quite quickly (e.g., inter alia, Turney 2002). Pang et al. (2002) first showed the power of using all the words without a sentiment lexicon; see also Wang and Manning (2012).

Most of the semi-supervised methods we describe for extending sentiment dictionaries drew on the early idea that synonyms and antonyms tend to co-occur in the same sentence. (Miller and Charles 1991, Justeson and Katz 1991, Riloff and Shepherd 1997). Other semi-supervized methods for learning cues to affective meaning rely on information extraction techniques, like the AutoSlog pattern extractors (Riloff and Wiebe, 2003). Graph based algorithms for sentiment were first suggested by Hatzivassiloglou and McKeown (1997), and graph propagation became a standard method (Zhu and Ghahramani 2002, Zhu et al. 2003, Zhou et al. 2004, Velikovich et al. 2010). Crowdsourcing can also be used to improve precision by filtering the result of semi-supervised lexicon learning (Riloff and Shepherd 1997, Fast et al. 2016).

Much recent work focuses on ways to learn embeddings that directly encode sentiment or other properties, such as the DENSIFIER algorithm of (Rothe et al., 2016) that learns to transform the embedding space to focus on sentiment (or other) information.

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