

Basic Parsing with Context-Free Grammars

Some slides adapted from Julia Hirschberg and Dan Jurafsky

Announcements

- ▶ To view past videos:
 - <http://globe.cvn.columbia.edu:8080/oncampus.php?c=133ae14752e27fde909fdbd64c06b337>
- ▶ Usually available only for 1 week. Right now, available for all previous lectures

Homework Questions?

Evaluation

Syntactic Parsing

Syntactic Parsing

- ▶ **Declarative** formalisms like CFGs, FSAs define the *legal strings of a language* -- but only tell you 'this is a legal string of the language X'
- ▶ **Parsing algorithms** specify how to recognize the strings of a language and assign each string one (or more) syntactic analyses

CFG: Example

the small boy likes a girl

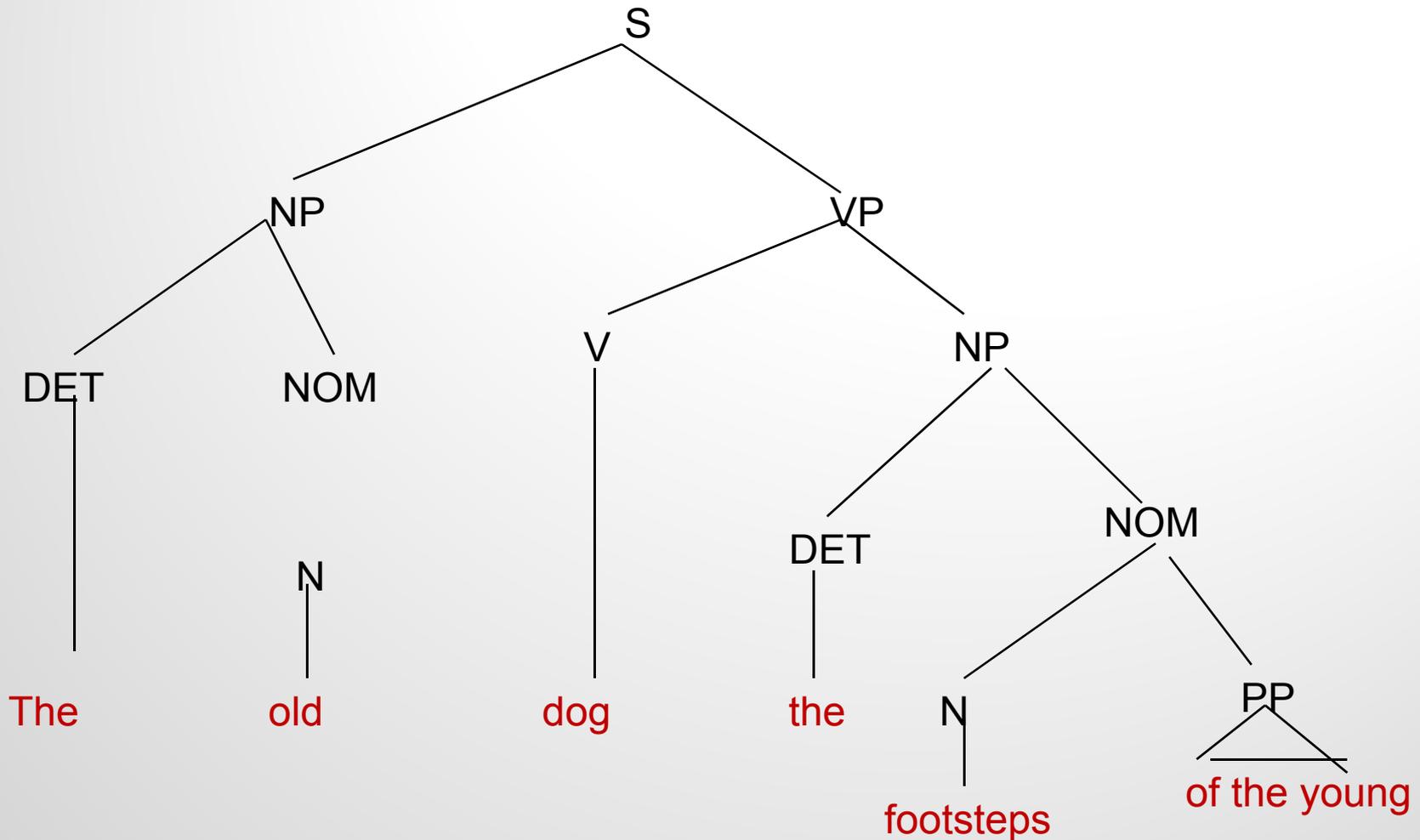
- ▶ Many possible CFGs for English, here is an example (fragment):
 - $S \rightarrow NP VP$
 - $VP \rightarrow V NP$
 - $NP \rightarrow Det N \mid Adj NP$
 - $N \rightarrow boy \mid girl$
 - $V \rightarrow sees \mid likes$
 - $Adj \rightarrow big \mid small$
 - $DetP \rightarrow a \mid the$

- *big the small girl sees a boy
- John likes a girl
- I like a girl
- I sleep
- The old dog the footsteps of the young

Modified CFG

$S \rightarrow NP VP$	$VP \rightarrow V$
$S \rightarrow Aux NP VP$	$VP \rightarrow V PP$
$S \rightarrow VP$	$PP \rightarrow Prep NP$
$NP \rightarrow Det Nom$	$N \rightarrow \text{old} \mid \text{dog} \mid \text{footsteps} \mid \text{young} \mid \text{flight}$
$NP \rightarrow PropN$	$V \rightarrow \text{dog} \mid \text{include} \mid \text{prefer} \mid \text{book}$
$NP \rightarrow Pronoun$	
$Nom \rightarrow Adj Nom$	$Aux \rightarrow \text{does}$
$Nom \rightarrow N$	$Prep \rightarrow \text{from} \mid \text{to} \mid \text{on} \mid \text{of}$
$Nom \rightarrow N Nom$	$PropN \rightarrow \text{Bush} \mid \text{McCain} \mid \text{Obama}$
$Nom \rightarrow Nom PP$	$Det \rightarrow \text{that} \mid \text{this} \mid \text{a} \mid \text{the}$
$VP \rightarrow V NP$	$Adj \rightarrow \text{old} \mid \text{green} \mid \text{red}$

Parse Tree for 'The old dog the footsteps of the young' for Prior CFG



Parsing as a Form of Search

- ▶ Searching **FSA**s
 - Finding the right path through the automaton
 - Search space defined by structure of FSA
- ▶ Searching **CFG**s
 - Finding the right parse tree among all possible parse trees
 - Search space defined by the grammar
- ▶ Constraints provided by *the input sentence* and *the automaton or grammar*

Top-Down Parser

- ▶ Builds from the root S node to the leaves
- ▶ Expectation-based
- ▶ Common search strategy
 - Top-down, left-to-right, backtracking
 - Try first rule with $LHS = S$
 - Next expand all constituents in these trees/rules
 - Continue until leaves are POS
 - Backtrack when candidate POS does not match input string

Rule Expansion

- ▶ “The old dog the footsteps of the young.”
 - Where does backtracking happen?
 - What are the computational disadvantages?
 - What are the advantages?

Bottom-Up Parsing

- ▶ Parser begins with words of input and builds up trees, applying grammar rules whose RHS matches

Det N V Det N Prep Det N

The old dog the footsteps of the young.

Det Adj N Det N Prep Det N

The old dog the footsteps of the young.

Parse continues until an S root node reached or no further node expansion possible

Det N V Det N Prep Det N
The old dog the footsteps of the young.
Det Adj N Det N Prep Det N

Bottom-up parsing

- ▶ When does disambiguation occur?
- ▶ What are the computational advantages and disadvantages?

What's right/wrong with....

- ▶ Top-Down parsers – they never explore illegal parses (e.g. which can't form an S) -- but waste time on trees that can never match the input
- ▶ Bottom-Up parsers – they never explore trees inconsistent with input -- but waste time exploring illegal parses (with no S root)
- ▶ For both: find a control strategy -- how explore search space efficiently?
 - Pursuing all parses in parallel or backtrack or ...?
 - Which rule to apply next?
 - Which node to expand next?

Some Solutions

Dynamic Programming Approaches – Use a chart to represent partial results

- ▶ CKY Parsing Algorithm
 - Bottom-up
 - Grammar must be in Normal Form
 - The parse tree might not be consistent with linguistic theory
- ▶ Early Parsing Algorithm
 - Top-down
 - Expectations about constituents are confirmed by input
 - A POS tag for a word that is not predicted is never added
- ▶ Chart Parser

Earley Parsing

- ▶ Allows arbitrary CFGs
- ▶ Fills a table in a single sweep over the input words
 - Table is length $N+1$; N is number of words
 - Table entries represent
 - Completed constituents and their locations
 - In-progress constituents
 - Predicted constituents

States

- ▶ The table-entries are called states and are represented with **dotted-rules**.

$S \rightarrow \cdot VP$

A VP is predicted

$NP \rightarrow Det \cdot Nominal$

An NP is in progress

$VP \rightarrow V NP \cdot$

A VP has been found

States / Locations

- ▶ It would be nice to know where these things are in the input so...

$S \rightarrow \cdot VP [0,0]$

A VP is predicted at the start of the sentence

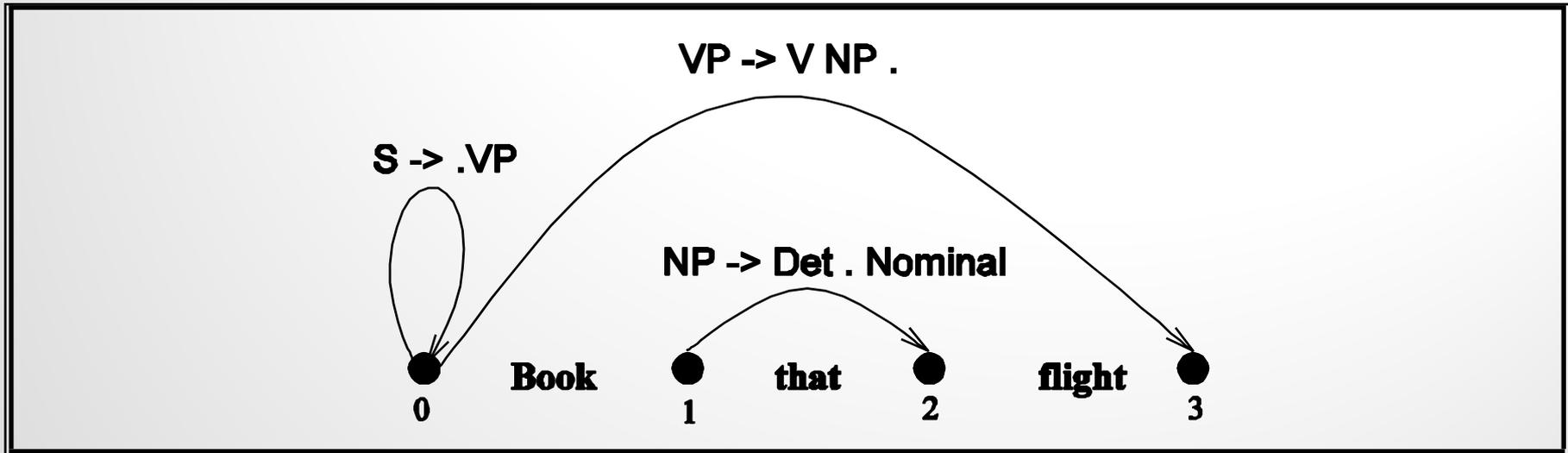
$NP \rightarrow Det \cdot Nominal [1,2]$

An NP is in progress; the Det goes from 1 to 2

$VP \rightarrow V NP \cdot [0,3]$

A VP has been found starting at 0 and ending at 3

Graphically



Earley

- ▶ As with most dynamic programming approaches, the answer is found by looking in the table in the right place.
- ▶ In this case, there should be an S state in the final column that spans from 0 to $n+1$ and is complete.
- ▶ If that's the case you're done.
 - $S \rightarrow \alpha \cdot [0, n+1]$

Earley Algorithm

- ▶ March through chart left-to-right.
- ▶ At each step, apply 1 of 3 operators
 - Predictor
 - Create new states representing top-down expectations
 - Scanner
 - Match word predictions (rule with word after dot) to words
 - Completer
 - When a state is complete, see what rules were looking for that completed constituent

Predictor

▶ Given a state

- With a non-terminal to right of dot (not a part-of-speech category)
- Create a new state for each expansion of the non-terminal
- Place these new states into same chart entry as generated state, beginning and ending where generating state ends.
- So predictor looking at
 - $S \rightarrow \cdot VP [0,0]$
- results in
 - $VP \rightarrow \cdot Verb [0,0]$
 - $VP \rightarrow \cdot Verb NP [0,0]$

Scanner

- ▶ Given a state
 - With a non-terminal to right of dot that is a part-of-speech category
 - If the next word in the input matches this POS
 - Create a new state with dot moved over the non-terminal
 - So scanner looking at $VP \rightarrow \cdot \text{Verb NP}$ [0,0]
 - If the next word, “book”, can be a verb, add new state:
 - $VP \rightarrow \text{Verb} \cdot \text{NP}$ [0,1]
 - Add this state to chart entry following current one
 - Note: Earley algorithm uses top-down input to disambiguate POS! Only POS predicted by some state can get added to chart!

Completer

- ▶ Applied to a state when its dot has reached right end of rule.
- ▶ Parser has discovered a category over some span of input.
- ▶ Find and advance all previous states that were looking for this category
 - copy state, move dot, insert in current chart entry
- ▶ Given:
 - NP \rightarrow Det Nominal . [1,3]
 - VP \rightarrow Verb. NP [0,1]
- ▶ Add
 - VP \rightarrow Verb NP . [0,3]

How do we know we are done?

- ▶ Find an S state in the final column that spans from 0 to $n+1$ and is complete.
- ▶ If that's the case you're done.
 - $S \rightarrow \alpha \cdot [0, n+1]$

Earley

- ▶ More specifically...
 1. Predict all the states you can upfront
 2. Read a word
 1. Extend states based on matches
 2. Add new predictions
 3. Go to 2
 3. Look at $N+1$ to see if you have a winner

Example

- ▶ Book that flight
- ▶ We should find... an S from 0 to 3 that is a completed state...

CFG for Fragment of English

S → NP VP	VP → V
S → Aux NP VP	PP → Prep NP
NP → Det Nom	N → old dog footsteps young
NP → PropN	V → dog include prefer
Nom → Adj Nom	Aux → does
Nom → N	Prep → from to on of
Nom → N Nom	PropN → Bush McCain Obama
Nom → Nom PP	Det → that this a the
VP → V NP	Adj → old green red

Example

Chart[0]	S0	$\gamma \rightarrow \bullet S$	[0,0]	Dummy start state
	S1	$S \rightarrow \bullet NP VP$	[0,0]	Predictor
	S2	$S \rightarrow \bullet Aux NP VP$	[0,0]	Predictor
	S3	$S \rightarrow \bullet VP$	[0,0]	Predictor
	S4	$NP \rightarrow \bullet Pronoun$	[0,0]	Predictor
	S5	$NP \rightarrow \bullet Proper-Noun$	[0,0]	Predictor
	S6	$NP \rightarrow \bullet Det Nominal$	[0,0]	Predictor
	S7	$VP \rightarrow \bullet Verb$	[0,0]	Predictor
	S8	$VP \rightarrow \bullet Verb NP$	[0,0]	Predictor
	S9	$VP \rightarrow \bullet Verb NP PP$	[0,0]	Predictor
	S10	$VP \rightarrow \bullet Verb PP$	[0,0]	Predictor
	S11	$VP \rightarrow \bullet VP PP$	[0,0]	Predictor

Example

Chart[1]	S12	<i>Verb</i> → <i>book</i> •	[0,1]	Scanner
	S13	<i>VP</i> → <i>Verb</i> •	[0,1]	Completer
	S14	<i>VP</i> → <i>Verb</i> • <i>NP</i>	[0,1]	Completer
	S15	<i>VP</i> → <i>Verb</i> • <i>NP PP</i>	[0,0]	Predictor
	S16	<i>VP</i> → <i>Verb</i> • <i>PP</i>	[0,0]	Predictor
	S17	<i>S</i> → <i>VP</i> •	[0,1]	Completer
	S18	<i>VP</i> → <i>VP</i> • <i>PP</i>	[0,1]	Completer
	S19	<i>NP</i> → • <i>Pronoun</i>	[1,1]	Predictor
	S20	<i>NP</i> → • <i>Proper-Noun</i>	[1,1]	Predictor
	S21	<i>NP</i> → • <i>Det Nominal</i>	[1,1]	Predictor
	S22	<i>PP</i> → • <i>Prep NP</i>	[1,1]	Predictor

Example

Chart[2]	S23	<i>Det</i> → <i>that</i> •	[1,2]	Scanner
	S24	<i>NP</i> → <i>Det</i> • <i>Nominal</i>	[1,2]	Completer
	S25	<i>Nominal</i> → • <i>Noun</i>	[2,2]	Predictor
	S26	<i>Nominal</i> → • <i>Nominal Noun</i>	[2,2]	Predictor
	S27	<i>Nominal</i> → • <i>Nominal PP</i>	[2,2]	Predictor

Chart[3]	S28	<i>Noun</i> → <i>flight</i> •	[2,3]	Scanner
	S29	<i>Nominal</i> → <i>Noun</i> •	[2,3]	Completer
	S30	<i>NP</i> → <i>Det Nominal</i> •	[1,3]	Completer
	S31	<i>Nominal</i> → <i>Nominal</i> • <i>Noun</i>	[2,3]	Completer
	S32	<i>Nominal</i> → <i>Nominal</i> • <i>PP</i>	[2,3]	Completer
	S33	<i>VP</i> → <i>Verb NP</i> •	[0,3]	Completer
	S34	<i>VP</i> → <i>Verb NP</i> • <i>PP</i>	[0,3]	Completer
	S35	<i>PP</i> → • <i>Prep NP</i>	[3,3]	Predictor
	S36	<i>S</i> → <i>VP</i> •	[0,3]	Completer

Details

- ▶ What kind of algorithms did we just describe
 - Not parsers – recognizers
 - The presence of an S state with the right attributes in the right place indicates a successful recognition.
 - But no parse tree... no parser
 - That's how we solve (not) an exponential problem in polynomial time

Converting Earley from Recognizer to Parser

- ▶ With the addition of a few pointers we have a parser
- ▶ Augment the “Completer” to point to where we came from.

Augmenting the chart with structural information

Chart[1]

S8	<i>Verb</i>	<i>book</i>	[0,1]	Scanner	
S9	<i>VP</i>	<i>Verb</i>	[0,1]	Completer	S8
S10	<i>S</i>	<i>VP</i>	[0,1]	Completer	S9
S11	<i>VP</i>	<i>Verb NP</i>	[0,1]	Completer	S8
S12	<i>NP</i>	<i>Det NOMINAL</i>	[1,1]	Predictor	
S13	<i>NP</i>	<i>Proper-Noun</i>	[1,1]	Predictor	

Chart[2]

<i>Det</i>	<i>that</i>		[1,2]	Scanner
<i>NP</i>	<i>Det NOMINAL</i>		[1,2]	Completer
<i>NOMINAL</i>	<i>Noun</i>		[2,2]	Predictor
<i>NOMINAL</i>	<i>Noun NOMINAL</i>		[2,2]	Predictor

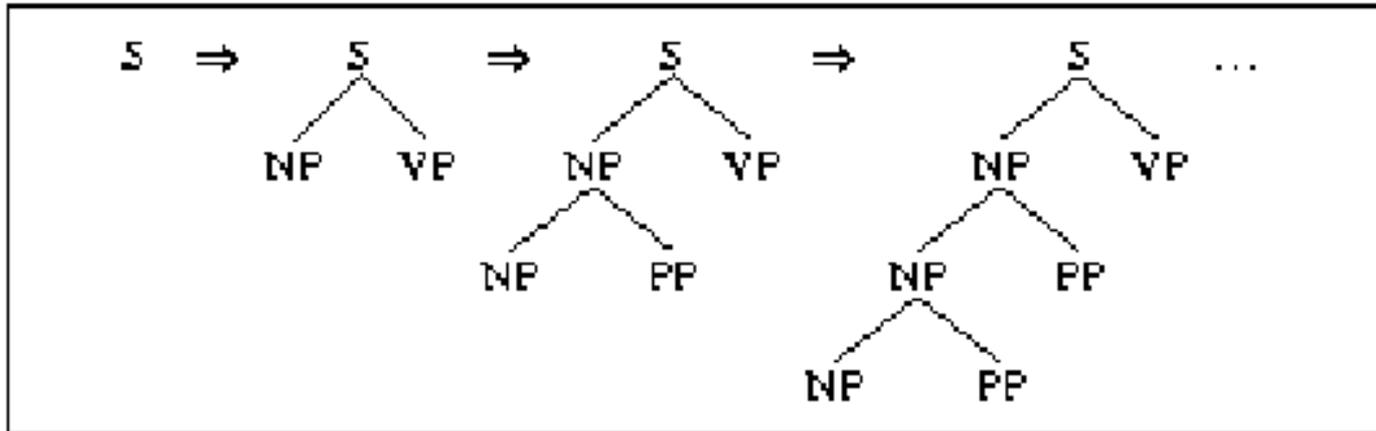
Retrieving Parse Trees from Chart

- ▶ All the possible parses for an input are in the table
- ▶ We just need to read off all the backpointers from every complete S in the last column of the table
- ▶ Find all the $S \rightarrow X . [0, N+1]$
- ▶ Follow the structural traces from the Completer
- ▶ Of course, this won't be polynomial time, since there could be an exponential number of trees
- ▶ We can at least represent ambiguity efficiently

Left Recursion vs. Right Recursion

- ▶ Depth-first search will never terminate if grammar is *left recursive* (e.g. $\text{NP} \rightarrow \text{NP PP}$)

$$(A \xrightarrow{*} \alpha AB, \alpha \xrightarrow{*} \varepsilon)$$



▶ Solutions:

- Rewrite the grammar (automatically?) to a *weakly equivalent* one which is not left-recursive

e.g. **The man {on the hill with the telescope...}**

NP → NP PP (wanted: Nom plus a sequence of PPs)

NP → Nom PP

NP → Nom

Nom → Det N

...becomes...

NP → Nom NP'

Nom → Det N

NP' → PP NP' (wanted: a sequence of PPs)

NP' → e

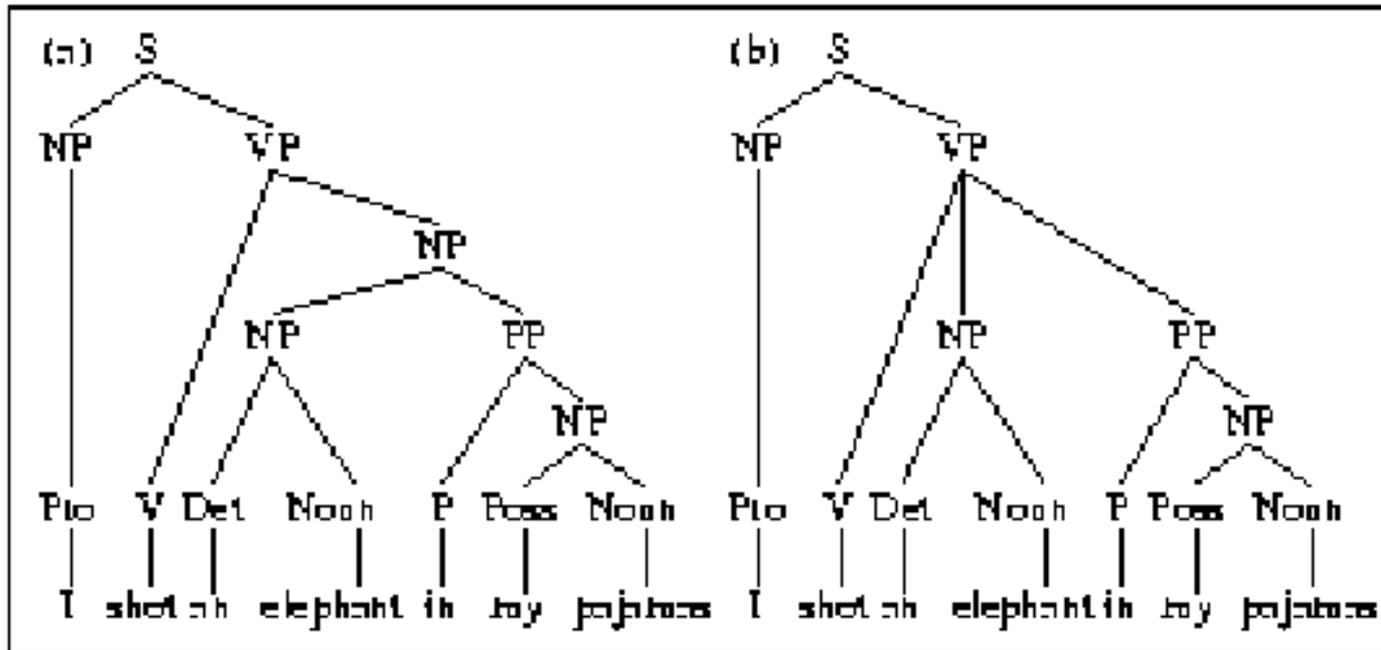
- *Not so obvious what these rules mean...*

- Harder to detect and eliminate *non-immediate left recursion*
 - NP \rightarrow Nom PP
 - Nom \rightarrow NP
- Fix depth of search explicitly
- Rule ordering: non-recursive rules first
 - NP \rightarrow Det Nom
 - NP \rightarrow NP PP

Another Problem: Structural ambiguity

- ▶ Multiple legal structures
 - Attachment (e.g. I saw a man on a hill with a telescope)
 - Coordination (e.g. younger cats and dogs)
 - NP bracketing (e.g. Spanish language teachers)

NP vs. VP Attachment



- ▶ Solution?
 - Return all possible parses and disambiguate using “other methods”

Summing Up

- ▶ Parsing is a search problem which may be implemented with many control strategies
 - **Top-Down** or **Bottom-Up** approaches each have problems
 - Combining the two solves some but not all issues
 - Left recursion
 - Syntactic ambiguity
- ▶ Next time: Making use of statistical information about syntactic constituents
 - Read Ch 14