

Project Report- Types Languages Compilers

Project Name: **JustYourRegularBRzOsJonsVersion**

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Refresher From the Proposal:

Goal: Take in a string, convert it to a 'regex', and construct a DFA (recognizer) using Brzozowski regular expression derivatives.

Method: Implement the mathematical formalism described in Owens, Reppy, and Turon

Regular-expression derivatives reexamined (2009)

We (Me and Arman Jindal asj2152) have defined discrete steps below to be taken to implement this Goal.

Task:

1. Define a Regular Expression (RegExp) data type and Parser

Recursively define a RegExp data type in the Haskell constructor and a checker function for it.

2. Create the helper function $\nu(r)$ that checks if r is nullable.

$$\nu(r) = \begin{cases} \varepsilon & \text{if } r \text{ is nullable} \\ \emptyset & \text{otherwise.} \end{cases}$$

and is defined as follows:

$$\begin{aligned} \nu(\varepsilon) &= \varepsilon \\ \nu(a) &= \emptyset \\ \nu(\emptyset) &= \emptyset \\ \nu(r \cdot s) &= \nu(r) \& \nu(s) \\ \nu(r + s) &= \nu(r) + \nu(s) \\ \nu(r^*) &= \varepsilon \\ \nu(r \& s) &= \nu(r) \& \nu(s) \\ \nu(\neg r) &= \begin{cases} \varepsilon & \text{if } \nu(r) = \emptyset \\ \emptyset & \text{if } \nu(r) = \varepsilon \end{cases} \end{aligned}$$

3. Compute the derivative of a , with respect to a symbol a :

$$\begin{aligned} \partial_a \varepsilon &= \emptyset \\ \partial_a a &= \varepsilon \\ \partial_a b &= \emptyset \quad \text{for } b \neq a \\ \partial_a \emptyset &= \emptyset \\ \partial_a (r \cdot s) &= \partial_a r \cdot s + \nu(r) \cdot \partial_a s \\ \partial_a (r^*) &= \partial_a r \cdot r^* \\ \partial_a (r + s) &= \partial_a r + \partial_a s \\ \partial_a (r \& s) &= \partial_a r \& \partial_a s \\ \partial_a (\neg r) &= \neg(\partial_a r) \end{aligned}$$

The rules are extended to strings as follows:

$$\begin{aligned} \partial_\varepsilon r &= r \\ \partial_{ua} r &= \partial_a(\partial_u r) \end{aligned}$$

$r, s ::=$	\emptyset	empty set
	ε	empty string
	a	$a \in \Sigma$
	$r \cdot s$	concatenation
	r^*	Kleene-closure
	$r + s$	logical or (alternation)
	$r \& s$	logical and
	$\neg r$	complement

4. Create a Haskell data type of a DFA
5. Use the $\nu(r)$ function and the RegExp derivatives to create a DFA implementing the algorithm below:

```

fun goto q (c, (Q,  $\delta$ )) =
  let qc =  $\partial_c$  q
  in
    if  $\exists q' \in Q$  such that  $q' \equiv q_c$ 
    then (Q,  $\delta \cup \{(q, c) \mapsto q'\}$ )
    else
      let Q' = Q  $\cup$  {qc}
      let  $\delta'$  =  $\delta \cup \{(q, c) \mapsto q_c\}$ 
      in explore (Q',  $\delta'$ , qc)

and explore (Q,  $\delta$ , q) = fold (goto q) (Q,  $\delta$ )  $\Sigma$ 

fun mkDFA r =
  let q0 =  $\partial_\epsilon$  r
  let (Q,  $\delta$ ) = explore ({q0}, {}, q0)
  let  $\mathcal{F}$  = {q | q  $\in$  Q and  $\nu(q) = \epsilon$ }
  in (Q, q0,  $\mathcal{F}$ ,  $\delta$ )

```

Fig. 1. DFA construction using RE derivatives

6. Include test cases with RegExps and strings that are in and out of their language. Import the external RegularExpression checker and validate it against the generated DFA to test that we have correctly implemented the algorithm.

All images above are taken from the paper *Regular-expression Derivatives reexamined* By Scott Owens, John Reppy, and Aaron Turon.

What Happened:

Although we proposed the project jointly we implemented the same proposed project separately as two separate projects. All pieces are working from almost whatever angle I view it from. I worked using my implementation of HW2 as the starting point, build system, and example code. It can take derivatives of regular expressions, construct regular expressions, match the regex against a string using the derivatives, construct, DFAs, run DFAs, convert a regex to a DFA using its derivatives, and it can print out steps as to what it is doing during the evaluation of an input or running the DFA. Over the course of implementing the project from the proposal, I learned that the Brzowski derivatives are themselves regular expressions and that fact is quite neat. Here is the picture from the Owens paper as to how to do matching with just the derivatives and not using a DFA.

$$r \sim \varepsilon \Leftrightarrow \nu(r) = \varepsilon$$

$$r \sim a \cdot w \Leftrightarrow \partial_a r \sim w$$

Also from the Owens paper is an explanation of how to simplify the Regex to help make the equivalence testing of states less catastrophic to witness.

$r \& r \approx r$	(*) $r + r \approx r$
$r \& s \approx s \& r$	(*) $r + s \approx s + r$
$(r \& s) \& t \approx r \& (s \& t)$	(*) $(r + s) + t \approx r + (s + t)$
$\emptyset \& r \approx \emptyset$	$\neg \emptyset + r \approx \neg \emptyset$
$\neg \emptyset \& r \approx r$	$\emptyset + r \approx r$
$(r \cdot s) \cdot t \approx r \cdot (s \cdot t)$	$(r^*)^* \approx r^*$
$\emptyset \cdot r \approx \emptyset$	$\varepsilon^* \approx \varepsilon$
$r \cdot \emptyset \approx \emptyset$	$\emptyset^* \approx \varepsilon$
$\varepsilon \cdot r \approx r$	$\neg(\neg r) \approx r$
$r \cdot \varepsilon \approx r$	

These were useful to implement so I implemented them in the project.

The Files:

There are several new files including DFAAST.hs, HW DFA.hs, HWREG.hs, ParseString.hs, REGAST.hs, and README_FINAL_PROJECT.md, as well as edited main.hs, lambda.cabal, and package.yaml. The package changes are to install another library to help check the truthfulness of the evaluation. The main is edited to be more useful as a playground with the Regex parser. There is no DFA parser but the project proposal never called for one. The ParseString.hs has the parses function which takes in input as a string and parses it into a Regex of the form defined in REGAST.hs. The REGAST.hs has the definition of a Regex syntax tree and a function to pretty print out the Regex. HWREG.hs has many things including the functions to calculate Brzozowski derivatives (which are Regex) and the nullable function along with various helper functions and displaying functions. The paper actually describes a way to use the derivatives directly to perform matching and that file uses that implementation and uses the external library to check both that implementation and the external library for regex simultaneously. The other library was found to output some odd results due to it matching only some of the string instead of the entire string and possibly other different choices about the way their library works, see the new readme file for an example. The DFAAST.hs has the data type for the DFA implementation along with a pretty print function and a function to allow the construction of the DFA from the regular expression using the algorithm described in step 5, although the explore function was extracted to be just the fold and a function from the HWREG.hs needed to be used to help check for equivalence which is on the order of an

exponential number of concatenations. Additionally, various optimization techniques are possible from the paper although they were not in the proposal and mostly not implemented. The file HW DFA.hs has various functions to be convenient and run the DFA on an input. The README_FINAL_PROJECT.md has an explanation of the various parts that are a little bit tricky along with an example ghci log.

Some Thoughts and an Example of How Things Turned Out:

Here is an example from the new readme file that shows a prettyprint of a DFA that was created from a string that was parsed into a regex and then calculated and used the derivatives to create the DFA and pretty print it out:

```
ghci> string_to_dfa "a b"
States: Empty String,Empty Set,b,a concated b,
Start State: a concated b
Accept States: Empty String,
Transition Function:
((Empty String,a),Empty Set)
((Empty String,b),Empty Set)
((Empty String,c),Empty Set)
((Empty Set,a),Empty Set)
((Empty Set,b),Empty Set)
((Empty Set,c),Empty Set)
((b,a),Empty Set)
((b,b),Empty String)
((b,c),Empty Set)
((a concated b,a),b)
((a concated b,b),Empty Set)
((a concated b,c),Empty Set)

Alphabet: abc
```

The transition function outputs in the pretty print in the form ((state, character_input), next_state). The implementation is set up to handle the extended definition of regular expressions just like the paper mentions. The implementation of the Regex is a bit unintuitive as the Literal String builder is meant to have only one Char value inside of it and perform concatenation to everything else but instead does checking in other places and sometimes uses that to hold some information. Overall the parser took by far the longest and most difficult effort to implement as it was rather unintuitive and had a lot of pieces that needed to be correct along with Haskell doing its best to avoid allowing the use of infix operations and confusing documentation. The Literal String implementation does not perform as part 3 from the proposal suggests but instead relies on the Concatenation of many Literal String to work. The entire thing is set up to handle all operators in prefix notation for the regex. After going through this project it seems easier to see how people could build up a regex engine and perform matching, with a lot of help from research papers. See the README_FINAL_PROJECT.md for more information about how to use the project.

Exceptionally Helpful People And Resources:

This project is based on John Hui's helpful recommendation for projects on EdStem, here: <https://edstem.org/us/courses/36106/discussion/2893941>.

I worked with Arman Jindal asj2152 for the proposal.

Emily Sillars gave guidance, feedback, and pointers on Haskell.

The two papers this is based on helped a lot.

<https://www.ccs.neu.edu/home/turon/re-deriv.pdf>

<https://dl.acm.org/doi/pdf/10.1145/321239.321249>

The <https://github.com/AlexanderJDupree/DFA-Check> and <https://github.com/cacay/regexp> were helpful to view.

I also looked at quite a lot of slides from Professor Edwards other courses related to Haskell online, along with starter code from the HW2, and viewed the information about Regular Expression Derivatives on the course slides.

I used Michael Sipser's Book "Introduction to the Theory of Computation" as a reference text for some of the more technical theoretical aspects.

Also extra thanks to user Chris for posting this answer

<https://stackoverflow.com/questions/70468960/haskell-counting-with-foldr> which with Emily Sillars and many other uses and viewing of explanations finally helped me understand the value of using fold functions and how to use them in Haskell. This was quite possibly the best explanation of it that I could find that is complex enough to be useful but simple enough to be understood.

Much More Exhaustive References of a Non Exhaustive With Possible Duplicates List of Website Resources I Briefly to Longly Viewed for This Project in no Particular Order:

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<https://hackage.haskell.org/package/hashmap-1.3.3/docs/Data-HashMap.html#:Map> | Data.HashMap
<http://learnyouahaskell.com/modules> | Modules - Learn You a Haskell for Great Good!
<https://www.cs.auckland.ac.nz/references/haskell/haskell-intro-html/modules.html> | A Gentle Introduction to Haskell: Modules
<http://www1.cs.columbia.edu/~sedwards/classes/2023/6998-spring-tlc/grammars.pdf> | Context-Free Grammars
<https://stackoverflow.com/questions/46826700/how-to-create-a-generic-complex-type-in-haskell> | How to create a generic Complex type in Haskell? - Stack Overflow
https://downloads.haskell.org/~ghc/7.0.3/docs/html/users_guide/pragmas.html | 7.13. Pragmas
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<https://stackoverflow.com/questions/9524884/how-to-print-data-of-map-as-a-table> | Haskell - How to print data of Map as a table? - Stack Overflow
<https://cheatsheet.codeslower.com/CheatSheet.pdf> | CheatSheet.pdf
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<https://hackage.haskell.org/package/containers-0.6.7/docs/Data-Set.html> | Data.Set
<https://hackage.haskell.org/package/base-4.18.0.0/docs/Data-Ord.html#:Ord> | Data.Ord
<https://stackoverflow.com/questions/3065476/haskell-ord-instance-with-a-set> | Haskell Ord instance with a Set - Stack Overflow
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<https://stackoverflow.com/questions/9014626/iterating-through-a-list-in-haskell> | iterating through a list in Haskell - Stack Overflow

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<https://verigu.github.io/4115Fall2022/lectures/scanner.pdf> | Scanner

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<https://github.com/cacay/regexp> | cacay/regexp: Haskell regular expression library that supports derivatives, equivalence, intersection, and complement.

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