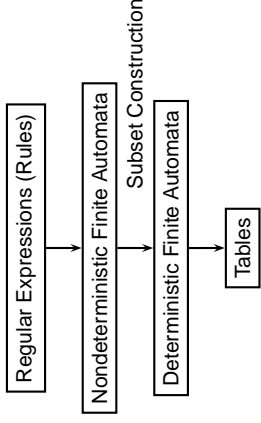


Specifying Tokens with REs

Typical choice: $\Sigma = \text{ASCII characters}$, i.e., $\{_, !, ", \#, \$, \dots, 0, 1, \dots, 9, \dots, A, \dots, Z, \dots, \sim\}$
letters: $A|B|\dots|Z|a|\dots|z$
digits: $0|1|\dots|9$
identifier: **letter** (**letter** | **digit**)*

Implementing Scanners Automatically



Nondeterministic Finite Automata

"All strings containing an even number of 0's and 1's"

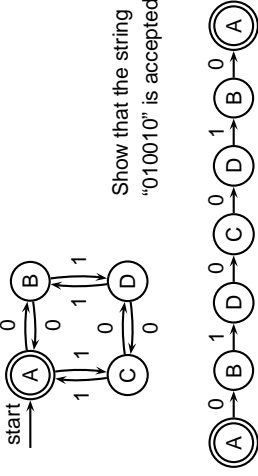
1. Set of states $S: \{A, B, C, D\}$
 2. Set of input symbols $\Sigma: \{0, 1\}$
 3. Transition function $\sigma: S \times \Sigma_\epsilon \rightarrow 2^S$

state	ϵ	0	1
A	-	{B}	{C}
B	-	{A}	{D}
C	-	{D}	{A}
D	-	{C}	{B}

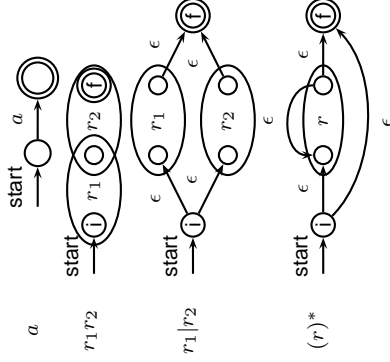
4. Start state $s_0: (A)$
 5. Set of accepting states $F: \{(A)\}$

The Language induced by an NFA

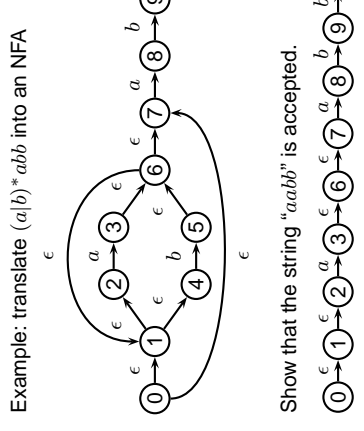
An NFA accepts an input string x iff there is a path from the start state to an accepting state that "spells out" x .



Translating REs into NFAs



Translating REs into NFAs



Simulating NFAs

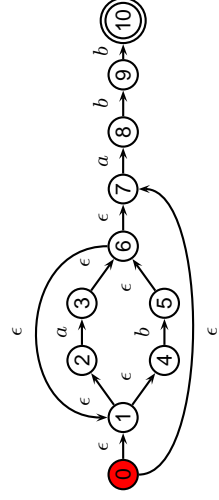
Problem: you must follow the "right" arcs to show that a string is accepted. How do you know which arc is right?

Solution: follow them all and sort it out later.

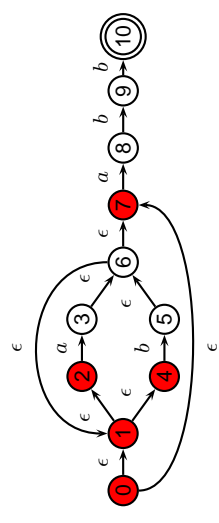
"Two-stack" NFA simulation algorithm:

1. Initial states: the ϵ -closure of the start state
2. For each character c :
 - New states: follow all transitions labeled c
 - Form the ϵ -closure of the current states
3. Accept if any final state is accepting

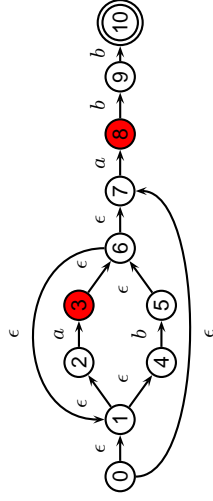
Simulating an NFA: -aabb, Start



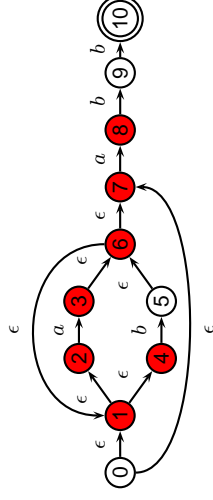
Simulating an NFA: -aabb, epsilon-closure



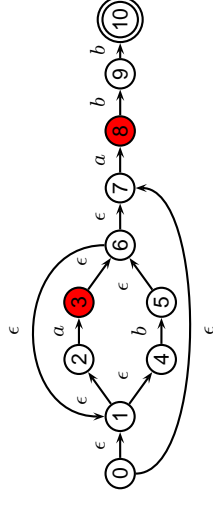
Simulating an NFA: $aabb$



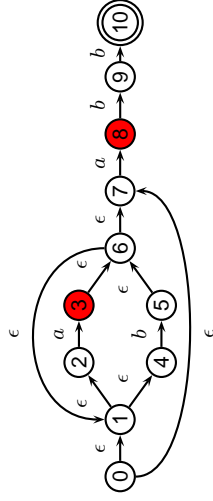
Simulating an NFA: $aabb, \epsilon$ -closure



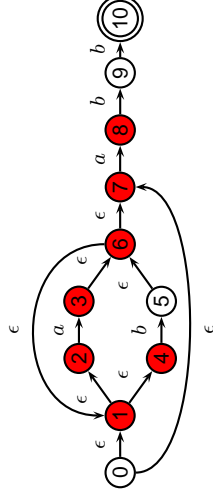
Simulating an NFA: $aabb$



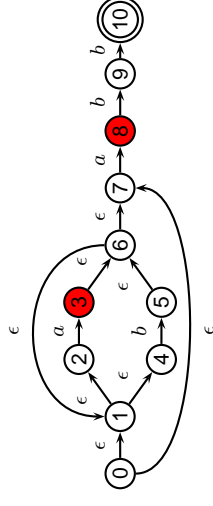
Simulating an NFA: $aabb, \epsilon$ -closure



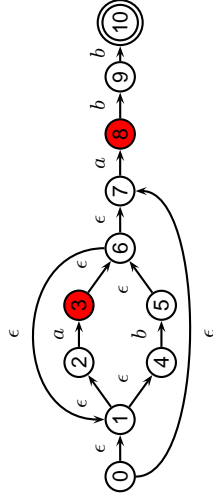
Simulating an NFA: $aabb, \epsilon$ -closure



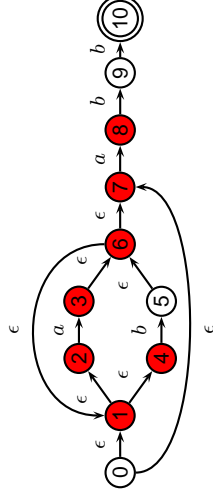
Simulating an NFA: $aabb, \epsilon$ -closure



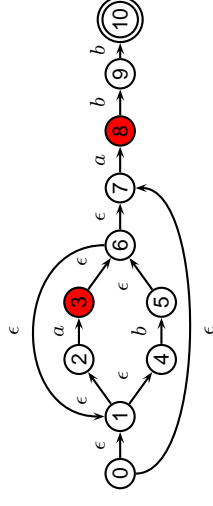
Simulating an NFA: $aabb, \epsilon$ -closure



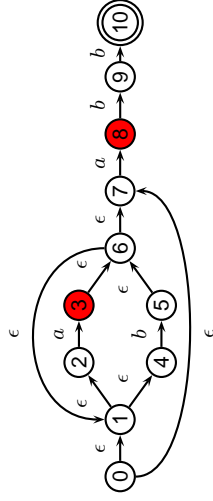
Simulating an NFA: $aabb, \epsilon$ -closure



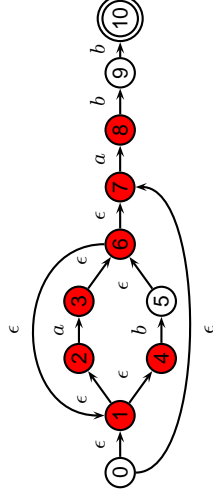
Simulating an NFA: $aabb, \epsilon$ -closure



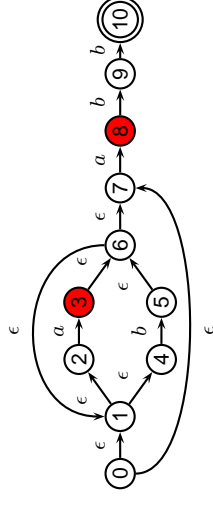
Simulating an NFA: $aabb, \epsilon$ -closure



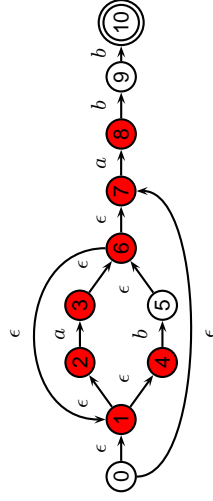
Simulating an NFA: $aabb, \epsilon$ -closure



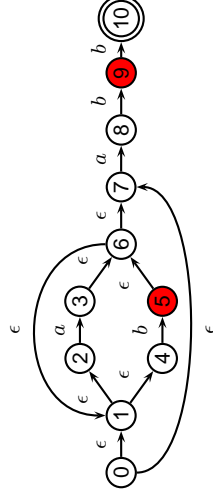
Simulating an NFA: $aabb, \epsilon$ -closure



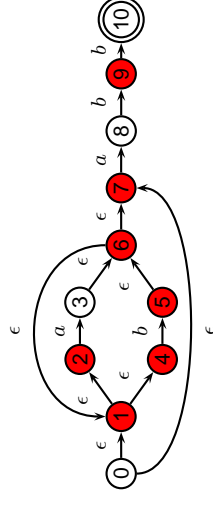
Simulating an NFA: $aabb, \epsilon$ -closure



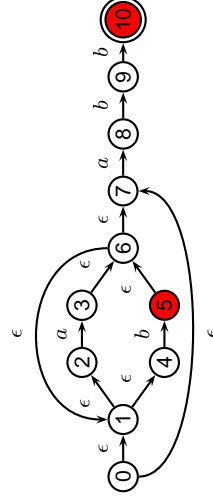
Simulating an NFA: $aabb$



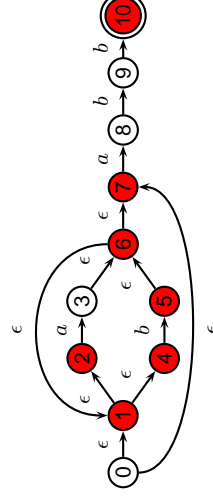
Simulating an NFA: $aabb, \epsilon$ -closure



Simulating an NFA: $aabb$



Simulating an NFA: $aabb, \text{Done}$



Deterministic Finite Automata

Restricted form of NFAs:

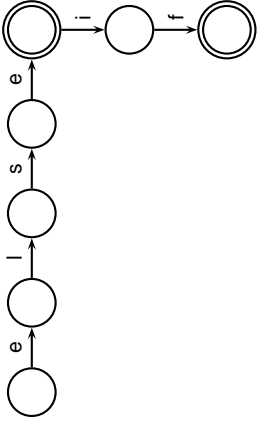
- No state has a transition on ϵ
- For each state s and symbol a , there is at most one edge labeled a leaving s .

Differs subtly from the definition used in COMS W3261 (Sipser, *Introduction to the Theory of Computation*)

Very easy to check acceptance: simulate by maintaining current state. Accept if you end up on an accepting state. Reject if you end on a non-accepting state or if there is no transition from the current state for the next symbol.

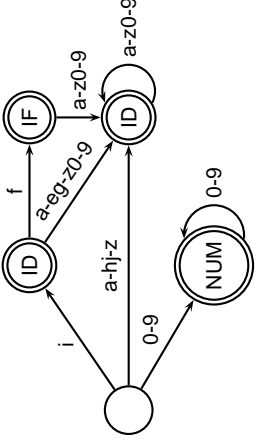
Deterministic Finite Automata

```
ELSE: "else" ;
ELSEIF: "elseif" ;
```



Deterministic Finite Automata

```
IF: "if" ;
ID: 'a'..'z' ('a'..'z' | '0'..'9')* ;
NUM: ('0'..'9')+ ;
```



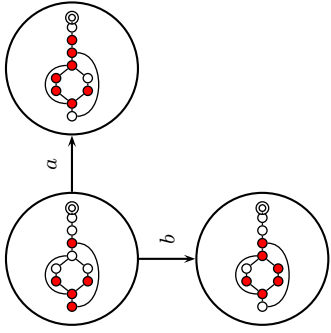
Building a DFA from an NFA

Subset construction algorithm

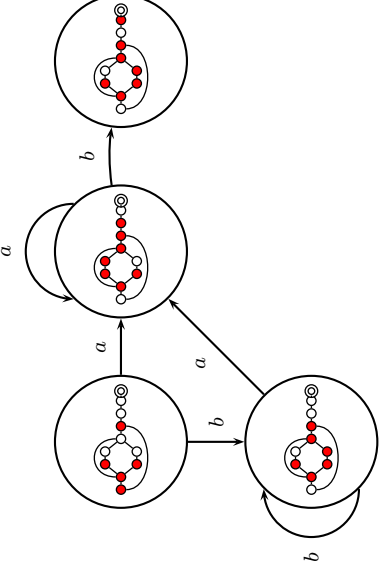
Simulate the NFA for all possible inputs and track the states that appear.

Each unique state during simulation becomes a state in the DFA.

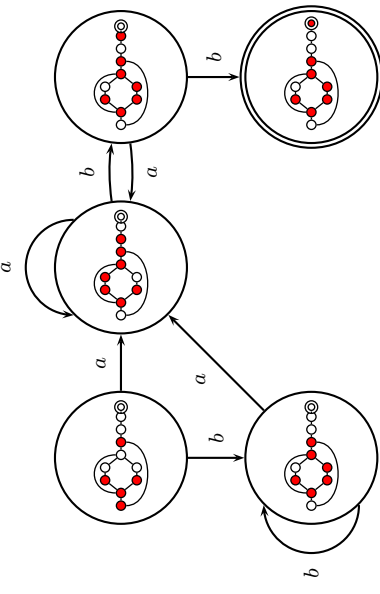
Subset construction for $(a|b)^*abb$ (1)



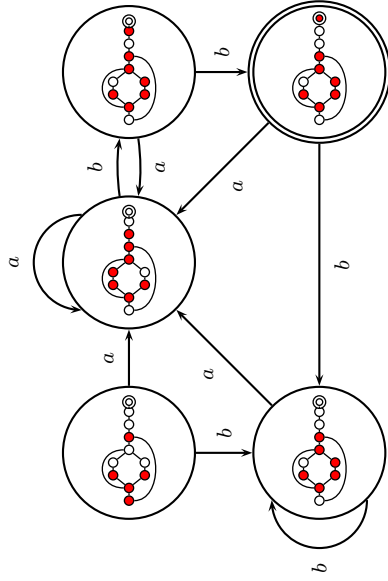
Subset construction for $(a|b)^*abb$ (2)



Subset construction for $(a|b)^*abb$ (3)



Subset construction for $(a|b)^*abb$ (4)



Subset Construction

An DFA can be exponentially larger than the corresponding NFA.

n states versus 2^n

Tools often try to strike a balance between the two representations.

ANTLR uses a different technique.

The ANTLR Compiler Generator

Language and compiler for writing compilers

Running ANTLR on an ANTLR file produces Java source files that can be compiled and run.

ANTLR can generate

- Scanners (lexical analyzers)
- Parsers
- Tree walkers

ANTLR 2.0 vs. 3.0

As usual, software marches on. ANTLR 3.0 is only a "small" change from 2.0, but of course, it breaks every one of my examples.

The biggest change was in the tree walker behavior.

All the nice tricks I'll show you for writing an interpreter no longer work.

Use [ANTLR 2.0](#)

An ANTLR File for a Simple Scanner

```
class CalcLexer extends Lexer;

LPAREN : '(' ; // Rules for punctuation
RPAREN : ')' ;
STAR : '*';
PLUS : '+' ;
SEMI : ';' ;
protected
DIGIT : '0'..'9' ; // Any character between 0 and 9
INT : (DIGIT)+ ; // One or more digits

WS : (' ' | '\t' | '\n' | '\r') // Whitespace
{ $setType(Token.SKIP); } ; // Action: ignore
```

ANTLR Specifications for Scanners

Rules are names starting with a capital letter.

A character in single quotes matches that character.

LPAREN : '(' ;

A string in double quotes matches the string

IF : "if" ;

A vertical bar indicates a choice:

OP : '+' | '-' | '*' | '/' ;

Free-Format Languages

Question mark makes a clause optional.

PERSON : ("wo")? 'm' ('a'|'e') 'n' ;

(Matches man, men, woman, and women.)

Double dots indicate a range of characters:

DIGIT : '0'..'9' ;

Asterisk and plus match "zero or more," "one or more."

ID : LETTER (LETTER | DIGIT)* ;

NUMBER : (DIGIT)+ ;

Free-Format Languages

Typical style arising from scanner/parser division

Program text is a series of tokens possibly separated by whitespace and comments, which are both ignored.

- keywords (`if while`)
- punctuation (`((+`)
- identifiers (`foo bar`)
- numbers (`10 -3.14159e+34`)
- strings (`"A string"`)

Free-Format Languages

Java C++ Algol Pascal

Some deviate a little (e.g., C and C++ have a separate preprocessor)

But not all languages are free-format.

FORTAN 77

FORTAN 77 is not free-format. 72-character lines:

```
100 IF(IN.EQ. 'Y'.OR. IN.EQ. 'y'.OR.
   $ IN.EQ. 'T'.OR. IN.EQ. 't') THEN
```



When column 6 is not a space, line is considered part of the previous.

Fixed-length line works well with a one-line buffer.

Makes sense on punch cards.

Python

The Python scripting language groups with indentation

```
i = 0
while i < 10:
    i = i + 1
    print i # Prints 1, 2, ..., 10
```

```
i = 0
while i < 10:
    i = i + 1
    print i # Just prints 10
```

This is succinct, but can be error-prone.

How do you wrap a conditional around instructions?

Syntax and Language Design

Does syntax matter? Yes and no

More important is a language's *semantics*—its meaning.

The syntax is aesthetic, but can be a religious issue.

But aesthetics matter to people, and can be critical.

Verbosity does matter: smaller is usually better.

Too small can be a problem: APL is a compact, cryptic language with its own character set (!)

$E \leftarrow A \text{ TEST } B ; L$

$L \leftarrow 0.5$

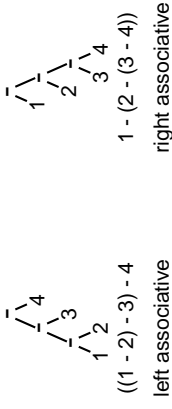
$E \leftarrow (A \times A) + B \times B * L$

Associativity

Whether to evaluate left-to-right or right-to-left

Most operators are left-associative

1 - 2 - 3 - 4



Fixing Ambiguous Grammars

Original ANTLR grammar specification

```

expr
: expr '+' expr
| expr '-' expr
| expr '*' expr
| expr '/' expr
| NUMBER
;

```

Ambiguous: no precedence or associativity.

Assigning Precedence Levels

Split into multiple rules, one per level

```

expr : expr '+' expr
      | expr '-' expr
      | term ;

term : term '*' term
      | term '/' term
      | atom ;

atom : NUMBER ;

```

Still ambiguous: associativity not defined

Assigning Associativity

Make one side or the other the next level of precedence

```

expr : expr '+' term
      | expr '-' term
      | term ;

term : term '*' atom
      | term '/' atom
      | atom ;

atom : NUMBER ;

```

Parsing Context-Free Grammars

There are $O(n^3)$ algorithms for parsing arbitrary CFGs, but most compilers demand $O(n)$ algorithms.

Fortunately, the LL and LR subclasses of CFGs have $O(n)$ parsing algorithms. People use these in practice.

Parsing LL(k) Grammars

LL: Left-to-right, Left-most derivation
k: number of tokens to look ahead
Parsed by top-down, predictive, recursive parsers
Basic idea: look at the next token to predict which production to use
ANTLR builds recursive LL(k) parsers
Almost a direct translation from the grammar.

Implementing a Top-Down Parser

```

stmt : 'if' expr 'then' expr
      | 'while' expr 'do' expr
      | expr ':' '=' expr ;

expr : NUMBER | '(' expr ')' ;

stmt() {
  switch (next-token) {
  case IF:
    match(IF); match(THEN); expr();
  case WHILE:
    match(WHILE); expr(); match(DO); expr();
  case NUMBER or LPAREN:
    expr(); match(COLEQ); expr();
  }
}

```

Writing LL(k) Grammars

Cannot have left-recursion

```

expr : expr '+' term | term ;

```

becomes

```

AST expr() {
  switch (next-token) {
  case NUMBER : expr(); /* Infinite Recursion */

```

Writing LL(1) Grammars

Cannot have common prefixes

```

expr : ID '(' expr ')'
      | ID '=' expr

```

becomes

```

expr() {
  switch (next-token) {
  case ID:
    match(ID); match(LPAR); expr(); match(RPAR); break;
  case ID:
    match(ID); match(EQUALS); expr();
  }
}

```

Eliminating Common Prefixes

Consolidate common prefixes:

```
expr
: expr '+' term
| expr '-' term
| term
;
becomes
expr
: expr ('+' term | '-' term )
| term
;
```

Eliminating Left Recursion

Understand the recursion and add tail rules

```
expr
: expr ('+' term | '-' term )
| term
;
becomes
expr : term exprt ;
exprt : '+' term exprt
| '-' term exprt
| /* nothing */
;
```

Using ANTLR's EBNF

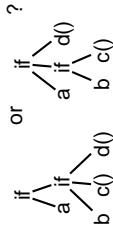
ANTLR makes this easier since it supports * and -:

```
expr : expr '+' term
| expr '-' term
| term ;
becomes
expr : term ('+' term | '-' term)* ;
```

The Dangling Else Problem

Who owns the else?

```
if (a) if (b) c(); else d();
```



Grammars are usually ambiguous; manuals give disambiguating rules such as C's:

As usual the "else" is resolved by connecting an else with the last encountered elseless if.

The Dangling Else Problem

```
stmt : "if" expr "then" stmt iftail
| other-statements ;
```

```
iftail
: "else" stmt
| /* nothing */
;
```

Problem comes when matching "iftail."

Normally, an empty choice is taken if the next token is in the "follow set" of the rule. But since "else" can follow an iftail, the decision is ambiguous.

The Dangling Else Problem

ANTLR can resolve this problem by making certain rules "greedy." If a conditional is marked as greedy, it will take that option even if the "nothing" option would also match:

```
stmt
: "if" expr "then" stmt
( options {greedy = true;}
: "else" stmt
)?
| other-statements
;
```

The Dangling Else Problem

Some languages resolve this problem by insisting on nesting everything.

E.g., Algol 68:

```
if a < b then a else b fi;
```

"fi" is "if" spelled backwards. The language also uses do-od and case-esac.

Statement separators/terminators

C uses ; as a statement terminator.

```
if (a<b) printf("a less");
else {
printf("b"); printf(" less");
}
```

Pascal uses ; as a statement separator.

```
if a < b then writeln('a less')
else begin
writeln('a'); writeln(' less')
end
```

Pascal later made a final ; optional.

Bottom-up Parsing

Rightmost Derivation

- 1: $e \rightarrow t + e$
- 2: $e \rightarrow t$
- 3: $t \rightarrow \text{ld} * t$
- 4: $t \rightarrow \text{ld}$

A rightmost derivation for $\text{ld} * \text{ld} + \text{ld}$:

Basic idea of bottom-up parsing: construct this rightmost derivation **backward**.

- e
- $t + e$
- $t + t$
- $t + \text{ld}$
- $\text{ld} * t + \text{ld}$
- $\text{ld} * \text{ld} + \text{ld}$

LR Parsing

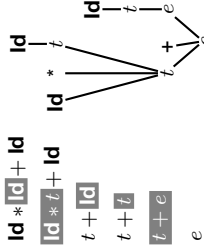
- 1: $e \rightarrow t + e$
- 2: $e \rightarrow t$
- 3: $t \rightarrow \text{ld} * t$
- 4: $t \rightarrow \text{ld}$

1. Look at state on top of stack
2. and the next input token
3. to find the next action
4. In this case, shift the token onto the stack and go to state 1.

	ld	+	\$	e	t	goto	action
0	s1					7	2
1	r4	r4	s3	r4			
2	r2	s4	r2	r2			
3	s1				5		
4	s1				6	2	
5	r3	r3	r3	r3			
6	r1	r1	r1	r1			
7							acc

Handles

- 1: $e \rightarrow t + e$
- 2: $e \rightarrow t$
- 3: $t \rightarrow \text{ld} * t$
- 4: $t \rightarrow \text{ld}$



This is a reverse rightmost derivation for $\text{ld} * \text{ld} + \text{ld}$.

Each highlighted section is a **handle**.

Taken in order, the handles build the tree from the leaves to the root.

Shift-reduce Parsing

- 1: $e \rightarrow t + e$
- 2: $e \rightarrow t$
- 3: $t \rightarrow \text{ld} * t$
- 4: $t \rightarrow \text{ld}$

stack	input	action
$\text{ld} * \text{ld} + \text{ld}$	ld	shift
$\text{ld} * \text{ld} + \text{ld}$	$+$	shift
$\text{ld} * \text{ld} + \text{ld}$	ld	shift
$\text{ld} * \text{ld}$	$+$	reduce (4)
$\text{ld} * t$	$+$	reduce (3)
t	$+$	shift
$t +$	ld	shift
$t + \text{ld}$		reduce (4)
$t + t$		reduce (2)
$t + e$		reduce (1)
e		accept

Scan input left-to-right, looking for handles.

An oracle tells what to do

LR Parsing

- 1: $e \rightarrow t + e$
- 2: $e \rightarrow t$
- 3: $t \rightarrow \text{ld} * t$
- 4: $t \rightarrow \text{ld}$

	ld	+	\$	e	t	goto	action
0	s1					7	2
1	r4	r4	s3	r4			
2	r2	s4	r2	r2			
3	s1				5		
4	s1				6	2	
5	r3	r3	r3	r3			
6	r1	r1	r1	r1			
7							acc

stack	input	action
$\text{ld} * \text{ld} + \text{ld} \$$	ld	shift, goto 1
$* \text{ld} + \text{ld} \$$	ld	shift, goto 3
$\text{ld} + \text{ld} \$$	ld	shift, goto 1
$+ \text{ld} \$$	ld	reduce w/4
$+ \text{ld} \$$	ld	reduce w/3
$+ \text{ld} \$$	ld	shift, goto 4
$\text{ld} \$$		shift, goto 1
$\$$		reduce w/4
$\$$		reduce w/2
$\$$		reduce w/1
$\$$		accept

Constructing the SLR Parse Table

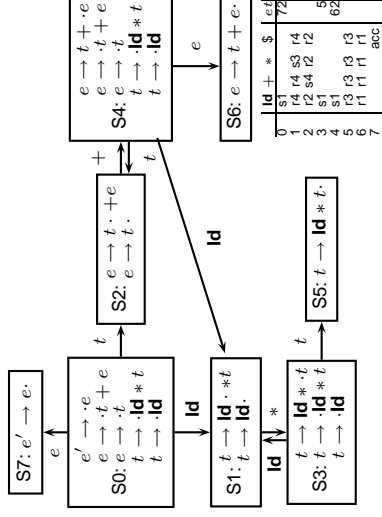
The states are places we could be in a reverse-rightmost derivation. Let's represent such a place with a dot.

- 1: $e \rightarrow t + e$
- 2: $e \rightarrow t$
- 3: $t \rightarrow \text{ld} * t$
- 4: $t \rightarrow \text{ld}$

Say we were at the beginning ($\cdot e$). This corresponds to

- $e' \rightarrow \cdot e$
 - $e \rightarrow \cdot t + e$
 - $e \rightarrow \cdot t$
 - $t \rightarrow \cdot \text{ld} * t$
 - $t \rightarrow \cdot \text{ld}$
- The first is a placeholder. The second are the two possibilities when we're just before e . The last two are the two possibilities when we're just before t .

Constructing the SLR Parsing Table



The Punchline

This is a tricky, but mechanical procedure. The parser generators YACC, Bison, Cup, and others (but not ANTLR) use a modified version of this technique to generate fast bottom-up parsers.

You need to understand it to comprehend error messages:

Shift/reduce conflicts are caused by a state like

- $t \rightarrow \text{ld} \cdot * t$
- $t \rightarrow \text{ld} * t$

Reduce/reduce conflicts are caused by a state like

- $t \rightarrow \text{ld} * t$
- $e \rightarrow t + e$