FUNCTIONAL PROGRAMMING (1) PROF. SIMON PARSONS

- Imperative programming is concerned with "how".
- *Functional* or *applicative* programming is, by contrast, concerned with "what".
- It is based on the mathematics of the lambda calculus (Church as opposed to Turing).
- "Programming without variables".
- It is inherently concise, elegant, and difficult to create subtle bugs in.

Referential transparency

- The main (good) property of functional programming is *referential transparency*.
- Every expression denotes a single value.
- This value cannot be changed by evaluating an expression or by sharing it between different parts of the program.
- There can be no reference to global data.
- (Indeed there is no such thing as global data.)
- There are no *side-effects*, unlike in *referentially opaque* languages.

```
program example(output)
var flag: boolean
function f(n:int): int
begin
  if flag then f:= n
          else f: 2*n
  flag := not flag
end
begin
  flag := true
  writeln(f(1) + f(2))
  writeln(f(2) + f(1))
end
```

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• What is the output?

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5,

- Okay, so the answer is 5 followed by 4.
- This is odd since if these were mathematical functions,

$$f(1) + f(2) = f(2) + f(1)$$

for any f.

- But this is because mathematical functions are functions only of their inputs.
- They have no memory.
- We can always tell what the value of a mathematical function will be just from its inputs.

- At the heart of the "problem" is fact that the global data flag controls the value of f.
- In particular the assignment:

```
flag := not flag
```

is the thing that gives this behaviour.

- If we eliminate assignment, we eliminate this kind of behaviour.
- Variables are no longer placeholders for values that change.
- (They are much less variable than variables in imperative programs).

Simple functional programming in HOPE

- We start with a function that squares numbers.
- In the rather odd syntax of HOPE this is:

dec square: num -> num;

```
--- square(x) <= x * x;
```

- Since we aren't really interested in HOPE, we won't explain the syntax in any great detail.
- Note though that first line includes a type definition.

- HOPE is strongly typed.
- Other functional languages aren't typed (LISP for example).
- We call the function by:

square(3)

- Which evaluates to 3 * 3 by definition, and then to 9 by the definition of *.
- Note only that, it will *always* evaluate to 9.

• More complex functions:

dec max : num # num -> num;

```
--- \max(m, n) \le if m > n then m else n;
```

• and:

dec max3 : num # num # num -> num
---max3(a, b, c) <= max(a, max(b, c));</pre>

• The type definitions indicate that the functions take two and three arguments respectively.

Tuples

- Saying that these functions take two and three arguments is slightly misleading.
- Instead they both have one argument— they are both *tuples*.
- One is a two-tuple and one is a three-tuple.
- This has one neat advantage—you can get functions to return a tuple, and thus several values.

dec IntDiv : num # num -> num # num; --- IntDiv(m, n) <= (m div n, m mod n);</pre>

• And we can the compose max(IntDiv(11, 4)), which will give 3.

• Another function:

• Applying

```
analyse(-1.04)
```

- will give ('-', false, -1)
- Note the *overloading* of >.

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Recursion

- Without variables, we can't write functional programs with loops.
- So to get iteration, we need recursion.

- Which works in the same way as recursion normally does.
- Recursion fits in perfectly with the functional approach.
- Each application of the recursive function is referentially transparent and easy to establish the value of.

- Here is a classic recursive function, with a twist.
- We can define functions to be *infix*.
- Here is the power function as an infix function:

• Again, HOPE gives us a very elegant way of defining the function.

Qualified expressions

• Because we don't have variables, sometime it seems we have to do unecessary work when evaluating functions:

- Here we have to evaluate g(square(max(x, 4))) twice in some situations.
- With variables, of course, we would have to do this just once.

• Once way around this would be to define the repeated bit as a new function:

dec f: num -> num; ---f(x) <= f1(g(square(max(x, 4))))</pre>

dec f1: num -> num; ---f1(a, b) <= a + (if b =< 1 then 1 else a)</pre>

- Efficiency here relies on efficient evaluation in the language.
- Another way is to use *qualified expressions*.

• Consider:

- The let construct allows us to extend the set of parameters of a function.
- In general:

```
let <name> == <expression1> in <expression2>
```

• The first expression defines <name> and the second uses it.

• We also have:

<expression2> where <name> == <expression1>

• So we could also write:

dec f : num -> num
--- f(x) <= a + (if x =< 1 then 1 else a))
where a == g(square(max(x, 4)))</pre>

• Note that == associates a name with an expression, it does not do assignment.

• To see this:

```
let x == E1 in
    if (let x == E2 in E3)
        then x
        else 1 + x
```

- The first let associates E1 with x.
- The second let doesn't change this.
- Instead it renames E2 as x within E3.
- Outside E3 x has its original meaning.
- So far we have used qualified expressions to save on evaluation.

- We also use them to clarify functions.
- A third use is to decompose tuples.

• This latter expression pattern matches (q, r) with the result of calling IntDiv.

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User defined data

- As in most languages, we can't do much interesting stuff in HOPE without defining data.
- This is way simpler in HOPE than in other languages.
- Consider handling lists.
- In C, we have to use structs, and pointers and worry about memory.
- Even in Java we have to use the right constructors.
- In HOPE we just deal with the recursive definition of a list.

- A list is either empty or an element followed by a list. data NumList == nil ++ cons(num # NumList)
- Here nil and cons are constructors.
- A single element list is then:

```
cons(3, nil)
```

• And the list comprising 1, 2 and 3 is:

```
cons(1, cons(2, cons(3, nil)))
```

• To define another kind of list we just do something similar: data CharList == NilCharList

```
++ ConsChars(char # CharList)
```

- Note that there is nothing special about the names nil or cons.
- Note also that we don't have to say anything about how these lists are represented internally.
- All we tell HOPE is that the list is either a something or a character followed by a list.

- The similarity of the definitions is intentional.
- All list definitions look like this.
- In fact, we can make a general definition:

```
typevar any
```

```
data list(any) == AnyNil
    ++ AnyCons(any # list(any))
```

- This is a *polymorphic* definition.
- We parameterize the list by the kinds of objects contained in it.

```
• With this definition we can build lists of any type:
```

```
AnyCons(1, AnyCons(2, nil))
```

```
AnyCons('a', AnyCons('b', nil))
```

```
AnyCons(AnyNil, AnyCons(AnyCons(1, nil)))
```

AnyNil

• The last two are a list of lists, and a list of unspecificed type.

• Lists are so common that they are built into HOPE.

```
infix :: : 7
data list(alpha) == nil ++ alpha :: list(alpha)
```

- We can also write lists as, for example [1, 2, 3].
- Strings are lists of characters.
- With this information it is easy to write functions to handle lists.

```
dec join : list(alpha) # list(alpha)
                -> list(alpha);
--- join(nil, L) <= L;
--- join(x::y, L) <= x :: join(y, L)
dec rev: list(alpha) -> list(alpha);
--- rev(nil) <= nil;
--- rev(x::l) <= rev(l) join [x];</pre>
```

• Note that join is predefined in HOPE as the infix function <>.

Higher order functions

• Consider

• While doing different things, these two functions have the same basic form.

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- Both operate on a list and apply a function to every member of the list.
- The two functions are:

dec Inc : num -> num --- Inc(n) <= n + 1 dec Listify : char -> list(char) --- Listify(c) <= [c]</pre>

• We can capture this by defining a *higher order function*

• This takes a function and a list as arguments and applies the function to every member of the list.

• We can then write down the equivalent of our two earlier functions.

```
map(Inc, L)
```

```
map(Listify, L)
```

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- Of course, this relies on us having defined Listify and Inc.
- However, we don't even have to do this.
- HOPE provides us with the means to write anonymous function bodies when and where we need them.
- For example:

lambda x => x + 1

• Here we have to use the word lambda.

- In general, we can replace any function with a lambda expression.
- We replace:
 - --- f(x) <= E
- with

```
lambda x => E
```

• Thus the function IncList is the same as:

```
map(lambda x => x + 1, L)
```

- Note that we have problems defining a recursive lambda because there is no name to use in the recursion.
- Instead we have to use a let or where.
- For example:

let f == lambda x => if x = 0 then 0 else x + f(x - 1)

- (which computes the sum of the first 3 numbers.)
- Such constructs are called *recursive let* and *recursive where*?

- Some functional languages make these separate constructs (eg letrec).
- In HOPE lambda expressions can also contain a number of parts.

- --- IsEmpty(_::_) <= false;
- becomes

lambda nil => true | _::_ => false